

Ref: MLLSEC/47/2023

Date: 13 April 2023

**To,**  
**BSE Limited,**  
**(Security Code: 540768)**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400 001

**National Stock Exchange of India Ltd.,**  
**(Symbol: MAHLOG)**  
Exchange Plaza, 5th Floor, Plot No. C/1,  
"G" Block, Bandra-Kurla Complex,  
Bandra (East), Mumbai – 400 051

Dear Sir/Madam,

**Sub: Compliance under Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") for the financial year ended 31 March 2022**

In compliance with Regulation 40(10) of the Listing Regulations, enclosed herewith is certificate dated 13 April 2023 from M/s. Parikh & Associates, Practicing Company Secretaries, confirming compliance of Regulation 40(9) of the Listing Regulations for financial year ended 31 March 2023.

Kindly take the same on record.

Thanking you,  
For **Mahindra Logistics Limited**

**Ruchie Khanna**  
**Company Secretary**

*Enclosure: As above*



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2023.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to MAHINDRA LOGISTICS LIMITED ('the Company') maintained and provided electronically by Link Intime India Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) As per the records made available to us there were no requests relating to transfers, transmission of securities, deletion of name, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the financial year ended 31<sup>st</sup> March, 2023.

B) Any other information, if applicable: -

Place: Mumbai  
Date: April 13, 2023

Signature:

**For Parikh & Associates**  
Company Secretaries

Pravinchandra  
a Nahalchand  
Parikh

Digitally signed by Pravinchandra Nahalchand Parikh  
DN: cn=Pravinchandra Nahalchand Parikh, title=Secretary,  
pseudonym=F10EC2DFD5F1B59115F8D3A068F04F788E  
D9882,  
2.5.4.20=02a59473a6d487ecbdfef0a8c3ad70b06b16d5d  
30bb138b26dce84b693f8ed1, postalCode=400104,  
st=Maharashtra,  
serialNumber=66A5DFE9F1E9732378E198497C98E1A9  
A543AAFAE294FE7C9880C5E69C2FC,  
cn=Pravinchandra Nahalchand Parikh  
Date: 2023.04.13 19:37:08 +05'30'

P. N. Parikh  
FCS No: 327 CP No: 1228  
UDIN: F000327E000090923  
PR No.: 1129/2021