

01st June, 2021

To,
The Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, G Block,
Bandra Kurla Complex,
Mumbai - 400051
NSE Symbol: ASALCBR

To,
The Department of Corporate Services
BSE Limited
PJ Tower, Dalal Street,
Mumbai - 400001
Scrip Code: 507526

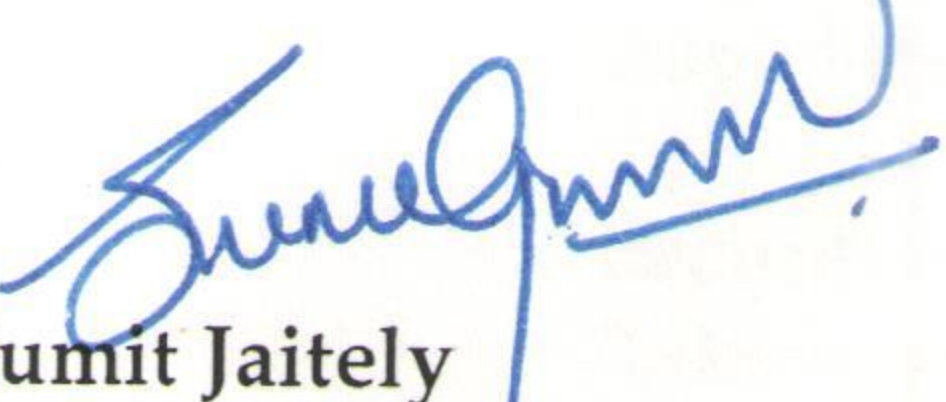
Sub: Submission of Secretarial Compliance Report for Financial Year Ended as on 31st March, 2021 pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Secretarial Compliance Report for the Financial Year Ended as on 31st March, 2021.

This is for your information and record.

Thanking you.

Yours Faithfully,
For Associated Alcohols & Breweries Limited


Sumit Jaitely
Company Secretary & Compliance Officer



Enclosure: a/a

K. ARUN & CO.

Company Secretaries

**SECRETARIAL COMPLIANCE REPORT
OF ASSOCIATED ALCOHOLS & BREWERIES LIMITED
FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2021
[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure
Requirements) Regulations, 2015]**

We, K. Arun & Co., Company Secretaries, being the Secretarial Auditor of M/s. **Associated Alcohols & Breweries Limited** (herein after mentioned as "the Company"), have examined:

- a) all the documents and records made available to us and explanation provided by the Company.
- b) the filings/ submissions made by the Company to the stock exchanges,
- c) website of the Company and
- d) such other documents, as may be relevant, which has been relied upon to make this certification for the Financial Year ended 31st March, 2021 in respect of compliance with the provisions of:
 - I. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - II. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- A. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 along with the circulars and guidelines issued there under;
- B. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 along with the circulars and guidelines issued there under;
- C. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 along with the circulars and guidelines issued there under;
- D. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 along with the circulars and guidelines issued there under;
- E. The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 along with the circulars and guidelines issued there under;



Secretarial
Compliance Report

Based on the above examination, we hereby report that, during the Review Period:

- I. The Company has complied with all the provisions of the above Regulations and Circulars and guidelines issued there under;
- II. The Company has maintained proper records under the provisions of the above Regulations and Circulars and Guidelines issued thereunder insofar as it appears from my/our examination of those records;
- III. No action has been taken against the Company or its promoters, directors, material subsidiaries either by Securities & Exchange Board of India or by Stock Exchanges under the aforesaid Acts/ Regulations and Circulars/ Guidelines;

Place: Kolkata
Date: 28.05.2021



For K. Arun & Co
Company Secretaries

Arun Kumar Khandelia
Partner
FCS: 3829
C.P. No.: 2270
UDIN: F003829C000383703