

CFL/SEC/SE/PS/2020-21/JUNE/06

June 9, 2020

The Manager (Listing) Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 <b>Scrip Code: 508814</b>	The Manager (Listing) National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra – Kurla Complex Mumbai-400 051 <b>Security ID: “COSMOFILMS”</b>
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**Sub: Annual Secretarial Compliance Report for the financial year ended 31<sup>st</sup> March 2020**

Dear Sir,

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No.CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith the Annual Secretarial Compliance Report dated June 8, 2020, issued by M/s BLAK & Co. for the year ended March 31, 2020

You are requested to take the same on your records.

Thanking You

Yours faithfully  
For **Cosmo Films Limited**Sd/-  
Jyoti Dixit  
Company Secretary & Compliance Officer

Encl: As Above

***Note: In view of the lockdown due to COVID-19 pandemic, we are submitting unsigned letter.***

**BLAK & CO.**  
**Company Secretaries**

**SECRETARIAL COMPLIANCE REPORT**  
**COSMO FILMS LIMITED**

for the financial year ended 31<sup>st</sup> March, 2020

(Pursuant to SEBI- CIR/CFD/CMD/1/27/2019 Dated February 08, 2019)

To,  
The Members,  
**COSMO FILMS LIMITED**  
1008, DLF Tower-A, Jasola District Centre,  
New Delhi - 110025

We have conducted the Secretarial Compliance Audit of applicable statutory provisions and the adherence to good corporate practices by **COSMO FILMS LIMITED**. Secretarial Compliance Audit was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

We have examined:

- (a) all the documents and records made available to us and explanation provided by the Cosmo Films Limited (“the listed entity”),
- (b) the filings/submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification for the year ended 31<sup>st</sup> March 2020 (“Review period”) in respect of compliance with the provision of applicable following laws:

- (i) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (ii) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable to the Company during the Audit Period)**



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**GLBIZ**<sup>TM</sup>  
towards corporate excellence

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- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable to the Company during the Audit Period)**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not applicable to the Company during the Audit Period)**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not applicable to the Company during the Audit Period)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories & Participants) Regulation, 1996 and 2018;
- j) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993; **(Not applicable to the Company during the Audit Period)**

and circulars/guidelines issued thereunder; and based on the above examination, we hereby report that, during the Review period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) There was no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.

Place: New Delhi  
Date: 08/06/2020

for **BLAK & CO.**  
Company Secretaries

  
(Archana Bansal)

Mg. Partner

M.No. – A17865

COP No.- 11714

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