

May 27, 2022

The Department of Corporate Services - CRD  
BSE Limited  
Pheeroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400 001

The Secretary  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor  
Plot No- 'C Block, G Block  
Bandra-Kurla Complex, Bandra (East)  
Mumbai 400 051

Scrip Code: 531595

Scrip Code: CGCL

**Sub: Capri Global Capital Limited - Reporting under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir / Madam,

The details of violation of the Company's Code of internal procedures and conduct for Regulating, Monitoring and Reporting of Trading by Insiders (The Code) formulated under the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI PIT Regulations) by Designated Person ("DP") along with the action taken by the Company thereon is enclosed as "Annexure A".

This disclosure is being made in terms of the SEBI PIT Regulations, applicable SEBI and Stock Exchange Circular(s).

Please take the above disclosure on record.

Thanking you,

Yours faithfully,

*for* **Capri Global Capital Limited**

**Yashesh Bhatt**  
Company Secretary  
Membership No. - ACS 20491

**Capri Global Capital Limited**

**Registered Office:**

CIN: L65921MH1994PLC173469 Regd. Off: 502, Tower A, Peninsula Business Park, Lower Parel, Mumbai – 400013.

☎ +9122 4088 8100/4354 8200 ✉ [contact@capriglobal.in](mailto:contact@capriglobal.in) 🌐 [www.capriglobal.in](http://www.capriglobal.in)

**Annexure A**

**Report by Capri Global Capital Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

*[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015*

*[For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]*

Sr. No.	Particulars	Details
1	Name of the listed company/ <del>Intermediary/Fiduciary</del>	Capri Global Capital Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr. Sujit Ramswarup Gupta
	ii. PAN of the DP	BAPPG2541A
	iii. Designation of DP	Senior Executive
	iv. Functional Role of DP	Finance and Accounts
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	N.A.
	ii. PAN of the immediate relative of DP	N.A.
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	Capri Global Capital Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	1 Equity Shares sold on April 21, 2022, for total value of Rs. 776/-
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
i. Date of intimation of trade(s) by concerned DP / <del>director/ promoter/promoter group to Company</del> under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.	
ii. Date of intimation of trade(s) by Company to Stock Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	N.A.	
4	Details of violations observed under Code of Conduct	Trading during the window closure period
5	Action taken by Listed company/ <del>Intermediary/</del> <del>Fiduciary</del>	Letter to reprimand the DP issued

Sr. No.	Particulars	Details
6	Reasons recorded in writing for taking action stated above	The aforesaid action was taken after taking into account the following factors: 1. The quantum of shares involved; 2. Sale of shares during window closure period.
7	Details of the previous instances of violations, if any, since last financial year	N.A. (first time offence)
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	N.A.
	ii. Details of transfer/payment In case of Online:	N.A
9	Any other relevant information	None

Yours faithfully,  
for **Capri Global Capital Limited**

**(Yashesh Bhatt)**  
**Company Secretary**  
PAN: AFLPB5264R  
E-mail ID: [Yashesh.Bhatt@capriglobal.in](mailto:Yashesh.Bhatt@capriglobal.in), [secretarial@capriglobal.in](mailto:secretarial@capriglobal.in)

Place: Mumbai  
Date: May 27, 2022

Encl.: As above

