# **CAPRI GLOBAL**

May 27, 2022

The Department of Corporate Services – CRD	The Secretary
BSE Limited	National Stock Exchange of India Limited
Pheeroze Jeejeebhoy Towers	Exchange Plaza, 5th Floor
Dalal Street, Fort	Plot No- 'C Block, G Block
Mumbai - 400 001	Bandra-Kurla Complex, Bandra (East) Mumbai 400 051

Scrip Code: 531595

Scrip Code: CGCL

### Sub: <u>Capri Global Capital Limited - Reporting under SEBI (Prohibition of Insider</u> <u>Trading) Regulations, 2015</u>

Dear Sir / Madam,

The details of violation of the Company's Code of internal procedures and conduct for Regulating, Monitoring and Reporting of Trading by Insiders (The Code) formulated under the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI PIT Regulations) by Designated Person ("DP") along with the action taken by the Company thereon is enclosed as "Annexure A".

This disclosure is being made in terms of the SEBI PIT Regulations, applicable SEBI and Stock Exchange Circular(s).

Please take the above disclosure on record.

Thanking you,

Yours faithfully,

for Capri Global Capital Limited

Yashesh Bhatt Company Secretary Membership No. - ACS 20491

## Capri Global Capital Limited

#### Annexure A

## Report by Capri Global Capital Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015 For Intermediaries/ Fiduciaries: Schedule C read with Regulation 9(1) and 9(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr.	Particulars	Details
No.		
1	Name of the listed company <del>/ Intermediary/Fiduciary</del>	Capri Global Capital Limited
2	Please tick appropriate checkbox	
	Reporting in capacity of :	
	✓ Listed Company	
	□ Intermediary	
	□ Fiduciary	
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Mr. Sujit Ramswarup Gupta
	ii. PAN of the DP	BAPPG2541A
	iii. Designation of DP	Senior Executive
	iv. Functional Role of DP	Finance and Accounts
	v. Whether DP is Promoter or belongs to Promoter	No
	Group	
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	N.A.
	ii. PAN of the immediate relative of DP	N.A.
	C. Details of transaction(s)	
	i. Name of the scrip	Capri Global Capital Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	1 Equity Shares sold on April 21, 2022, for total value of Rs. 776/-
	D. In case value of trade(s) is more than Rs.10 lacs in	· · · · · · · · · · · · · · · · · · ·
	i. Date of intimation of trade(s) by concerned DP /	N.A.
	director/ promoter/promoter group to Company	
	under regulation 7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by Company to Stock	N.A.
	Exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	
4	Details of violations observed under Code of Conduct	Trading during the window closure period
5	Action taken by Listed company <del>/ Intermediary/</del>	
5	Fiduciary	Letter to reprintant the Dr Issued
	riuuciai y	

Sr. No.	Particulars	Details
6	Reasons recorded in writing for taking action stated above	<ul><li>The aforesaid action was taken after taking into account the following factors:</li><li>1. The quantum of shares involved;</li><li>2. Sale of shares during window closure period.</li></ul>
7	Details of the previous instances of violations, if any, since	N.A. (first time offence)
	last financial year	
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	N.A.
	ii. Details of transfer/payment In case of Online:	N.A
9	Any other relevant information	None

Yours faithfully, *for* **Capri Global Capital Limited** 

## (Yashesh Bhatt)

**Company Secretary** PAN: AFLPB5264R E-mail ID: <u>Yashesh.Bhatt@capriglobal.in</u>, <u>secretarial@capriglobal.in</u>

Place: Mumbai Date: May 27, 2022

Encl.: As above

For lated companies: Schedul For Internediated Fiduciation 1. Name of the listed				2C. Details of transaction(s)	20. Is case where of baddy's more than the 23 lass is a calendar querier 4. Databat of orientimes sharem donder 5. Addition index by tabled campany, the month and the difficult of the database of the datab			7. Details of the previous instances of violations. If any, since	8. If any amount collected for Code of Conduct violation(s)	Code B. In case of online:					E. In case of Demand Draft (DD)			ate Place	ame of Compliance Officer	PAN	Email ID							
Intermediary/Fiduciary					Whether DP is Promoter or belongs to Promoter Group		e PAN of the immediate relative of DP	Name of the scrip		Date of intenation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Date of intimation of trade(t) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015				last financial year		Name of the transfe	ror Bank Name, branch and Account number UTR/Tran	ansaction reference Number	Transaction date 1	ransaction Amount (in Rs.)	Bank DO Number Name and branch	00 date 00 infor amount (in Rs.)	nation				
Capit Global Capital Limited	Listed Company	Mr. Amar Rajpurchit	AUD925616P	Rusiness Head – Rusiness Urban Retail	No	N.A.	N.A.	CapitalLinited	2000 Equity Sharve bought on March 23, 2022, for total value of Rc. 12,23,652.10	N.A.	NA.	Contra Transaction	Penalty of Rc 55,000/- issued to DP	The aforenaid action was taken after taking into account the following factors: 1.The quantum of shares involved; 2.Simwing of a situation; 3.R being second instance of non-compliance on the part of the DP.	Sale of RSE Equity Shares on May 14, 2021, for notal value of Rs. 282,265/- during the window closure period	Online	Amar Rajpurohit	Branch: Ahmedabad Account Number: 06453250000602	L N138221964137143 L N139221218266462	1. 18.05.2022 2. 19.05.2022	1. Rs. 50,000/- 2. Rs. 5,000/-		NA NA N	A 27.05.	2022 Mumbai	Yashesh Shatt	ATLPESSER	Yashesh Bhattiff capriglobal in secretarisi@capriglobal.is
Capri Global Capital Limited	Listed Company	Mr. Raviraj Jain	AQCPJ0785M	Product Manager Technology	No	N.A.	N.A.	Capit Global CapitalLimited	30 Equity Shares purchased on April 1, 2022, for total value of Rs. 18,705.90	NA.	NA	Trading during the window closure period	Penalty of Rc 5,000/-imposed on the DP	The aforesaid action was taken after taking into account the following factors: 1.8he quantum of shares involved; 2.8hechane of shares during window closure period	N.A. (First time offence)	Online	Raviraj Jain	Rask i Ujiban Small Finance Rask Branch i Boramangala Account Number i 118211050051540	212666874949	16.05.2022	5000	NA NA	NA NA N	A. 27.05.	2022 Mumbai	Yashesh Bhatt	AFLPES264R	Yashesh Bhathfi caorielobal in secretarial/licaorielobal in
Capif Global Capital United	Listed Company	Mr. Sujit Ramawarup Gupta	EA BAPPG2541A	Sesior Executive Finance and Accounts	No	N.A.	N.A.	Capit Global CapitalLimited	1 Equity Shares sold on April 21, 2022, for total value of Rc. 776	NA.	NA.	Trading during the window closure period	Letter to reprinted the DP issued	The aforesaid action was taken after taking into account the following factors: 13the quantum of shares involved; 23tale of shares during window closure period.	N.A. (First time affence)	N.A.	NA	N.K.	NA	NA.	NA	NA NA	NA NA N	A. 27.05.	2022 Mumbai	Yashesh Bhatt	AFLPR525AR	Yashesh Bhattiff capriglobal in secretarial@capriglobal in