

**DLF LIMITED**

DLF Gateway Tower, R Block,  
DLF City Phase - III, Gurugram - 122 002, Haryana (India)  
Tel. :+91-124-4769000



October 19, 2020

To, The General Manager Dept. of Corporate Services <b>BSE Limited</b> P.J. Tower, Dalal Street, Mumbai 400 001	To, The Vice-President <b>National Stock Exchange of India Limited</b> Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai-400051
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Dear Sir,

**Sub: Quarterly Compliance under  
SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In terms of the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, kindly find enclosed herewith following for the quarter ended 30<sup>th</sup> September, 2020:-

Sl. No.	Regulation	Particulars
1	Reg. 40(9)	Transfer & Transmission
2	Reg. 7(3)	Compliance Certificate certifying maintaining physical & electronic transfer facility
3	Reg. 13(3)	Investor Grievance Report
4	SEBI-DP Reg 76	Reconciliation of Share Capital Audit
5	SEBI-DP Reg 74(5)	Details of securities in Dematerialized and Physical form

Thanking you,

Yours faithfully,  
for **DLF Limited**

  
**R. P. Punjani**  
Company Secretary

Encl.: As above

For Stock Exchange's clarifications, please contact:-

1. Mr. R. P. Punjani – 09810655115 /punjani-rp@dlf.in
2. Mr. Raju Paul – 09999333687 /paul-raju@dlf.in

To

**The Board of Directors**  
DLF Limited,  
Shopping Mall, 3<sup>rd</sup> Floor,  
Arjun Marg, DLF City, Phase-1,  
Gurgaon, Haryana – 122002

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

Sir,

We have examined all the share transfer deeds, Memorandum of Transfers (MOT's), Registers and other documents relating to **DLF LIMITED**, maintained by the Registrars and Share Transfer Agent KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited) at Hyderabad, pertaining to transfer etc., of Equity Shares of the Company for the purpose of issuing a COMPLIANCE CERTIFICATE, in terms of the provisions of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company during half year ended on 30<sup>th</sup> September, 2020 has not received:

- (a) any requests for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.
- (b) any requests for issue of duplicates.

Place: Hyderabad  
Date: 16<sup>th</sup> October, 2020

For DSMR & Associates  
Company Secretaries

  
C. P. No. 4239  
D S M Ram  
Company Secretary

C. P. No. 4239  
UDIN: A014939B000973105

R/DLFN/40(9)/40(10)

19<sup>th</sup> October, 2020

**THE COMPANY SECRETARY  
DLF LIMITED  
SHOPPING MALL,3<sup>rd</sup> FLOOR  
ARJUN MARG PHASE-I DLF CITY  
GURGAON  
HARYANA-122002**

Dear Sir,

**SUB: CERTIFICATE UNDER REGULATION 40(9) AND 40 (10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

We hereby certify that during the half year ended on 30<sup>th</sup> September, 2020 no requests for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, or issue of duplicates with respect to the equity shares were received by the Company as per the share transfer deeds, Memorandum of Transfers (MOT's), Registers and other documents relating to the Company as maintained by us.

Thanking you,  
Yours faithfully,  
For **KFIN TECHNOLOGIES PRIVATE LTD**



Raj Kumar Kale  
Senior Manager

**KFin Technologies Private Limited**

(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office**

5th Floor, Building - 3, Plot No. 11 & 12, Financial District, Nanakramguda, Serilingampally, Hyderabad - 500032  
Ph: +91 40 6756 2222, 2961 0000 | <http://www.kfintech.com> | CIN: U72400TS2017PL112649

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to DLF LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED



Authorised Signatory

Place : Hyderabad  
Date : 08/10/2020

CERTIFIED TRUE COPY  
For DLF LIMITED



Company Secretary

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.  
Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

**Statement of Investor Complaints for the Quarter Ended 30<sup>th</sup> September, 2020**

Number of Investor complaints pending at the beginning of the quarter i.e. on 1 <sup>st</sup> July, 2020	NIL
Number of Investor complaints received during the quarter	01
Number of Investor complaints disposed of during the quarter	01
Number of Investor complaints remained unresolved at the end of the quarter i.e. on 30 <sup>th</sup> September, 2020	NIL

Thanking you,

Yours faithfully,  
for **DLF Limited**



**R. P. Punjani**  
Company Secretary

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For Stock Exchange's clarifications, please contact:-

1. Mr. R. P. Punjani – 09810655115 / [punjani-rp@dlf.in](mailto:punjani-rp@dlf.in)
2. Mr. Raju Paul – 09999333687 / [paul-raju@dlf.in](mailto:paul-raju@dlf.in)

## RECONCILIATION OF SHARE CAPITAL AUDIT

Sl. No.	Particulars	Details	
1.	For Quarter Ended	30.09.2020	
2.	ISIN	INE271C01023	
3.	Face Value	Rs.2/-	
4.	Name of the Company	<b>DLF LIMITED</b>	
5.	Registered Office Address	Shopping Mall, Third Floor, Arjun Marg, Phase - I, DLF City, Gurgaon, Haryana - 122002	
6.	Correspondence Address	DLF Gateway Tower, R Block, DLF City Phase - III, Gurugram - 122 002, Haryana (India)	
7.	Telephone Nos.	Tel: (+91-124) 4396000	
8.	Email address	punjani-rp @dlf.in	
9.	Names of the Stock Exchanges where the Company's Equity Shares are listed	1. BSE Limited 2. National Stock Exchange of India Limited	
		No. of Shares	% to the total Capital
10.	Issued Capital	2,48,29,93,953	---
11.	Listed Capital (Exchange-wise)*	2,47,53,11,706	---
12.	Held in dematerialized form in CDSL	2,98,20,767	1.20
13.	Held in dematerialized form in NSDL	2,44,27,58,573	98.68
14.	Physical	27,32,366	0.11
15.	Total No. of Shares (12+13+14)	2,47,53,11,706	100.00
16.	Reasons for difference, if any, between (10 & 15)	In terms of Public Announcement (PA) dated 30.09.2008 and subsequent corrigendum to PA dated 15.10.2008 read with SEBI approval dated 05.09.2008, the Company under its Buy-back program bought back and extinguished <b>76,38,567</b> Equity Shares from 17.10.2008 to 06.05.2009. <b>43,680</b> Equity Shares have been forfeited by the Board of Directors of the Company in their meeting held on 30.07.2009 due to nonpayment of Allotment money.	

For DSMR & ASSOCIATES  
COMPANY SECRETARIES


DSM RAM  
PROPRIETOR  
C.P.No. 4239

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars***	No. of Shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In principle Approval Pending for SE (Specify Names)
NIL	NIL	NIL	NIL	NIL	NIL	NIL

\*\*\*Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify).

18. Register of Members is updated (Yes/No) : Yes  
If not, updated upto which date

19. Reference of previous quarter with regards to excess dematerialized shares, if any : Not Applicable

20. Has the Company resolved the matter mentioned in Point No. 19 above in the current quarter? : Not Applicable  
If not, reason why?

21. Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of demat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax No. of the Compliance Officer of the Co.

Mr. R. P. Punjani, Membership No. FCS 3757, Company Secretary, DLF Gateway Tower, R Block, DLF City Phase – III, Gurugram – 122 002, Haryana (India), Tel: 0124-4398101 email: punjani-rp@dlf.in.

23. Name, Address, Tel. & Fax No., Regn. No. of the Auditor:

D S M Ram, Membership No: A14939, DSMR & Associates, Company Secretaries, 6 – 3 – 668/10/42, 1<sup>st</sup> Floor, Plot No. 42, Durga Nagar Colony, Punjagutta, Hyderabad – 500082, Tele Fax: 040 – 23408776, Mobile: 9848243356, C.P. No. 4239

For DSMR & ASSOCIATES  
COMPANY SECRETARIES

*D. S. M. Ram*

DSM RAM  
PROPRIETOR  
C.P.No. 4239

24. Appointment of Common Agency for Share Registry work:

If yes (name & address)	KFin Technologies Private Limited Karvy Selenium Tower B, Plot No. 31 & 32, Financial District, Gachibowli, Hyderabad – 500032 SEBI Registration No. INR000000221 Phone: 040 – 67162222 Fax: 040 – 23001153
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25. Any other detail that the auditor may like to provide. (e.g. BIFR company, delisting from SE company changed its name etc.): N. A.

Place: Hyderabad  
Date: 16<sup>th</sup> October, 2020

For DSMR & Associates  
Company Secretaries


D.S.M. Ram  
Proprietor  
Membership No. A14939  
C. P. No. 4239  
UDIN: A014939B000973116



R:/KFIN/DLFN/AUDIT/55A  
DATE:08/10/2020

THE COMPANY SECRETARY  
DLF LIMITED  
SHOPPING MALL, 3RD FLOOR  
ARJUN MARG PHASE - I DLF CITY  
GURGAON  
(HARYANA)  
122002

Dear Sir/Madam

This is in accordance with the circular No.d&cc/fittc/cir-16/2002 dated 31.12.2002 issued by Securities & Exchange Board of India advising Issuer/Listed Companies for a quarterly Reconciliation of share capital Audit. We confirm as follows for the quarter ended 30/09/2020.

1. That the Total issued capital of the company is 2475311706 Equity Shares of Rs.2/- each, out of which:
  - a) 162 Shareholders representing 2732366 Equity Shares constituting 0.11% of the subscribed and paid up capital are held in Physical Form.
  - b) 202462 Shareholders representing 2442758573 Equity Shares constituting 98.68% of the subscribed and paid up capital are held in Electronic Form through NSDL.
  - c) 140916 Shareholders representing 29820767 Equity Shares constituting 1.20% of the subscribed and paid up capital are held in Electronic Form through CDSL.
2. We confirm that the register of members is being maintained in Electronic Form only and the same is up to date.
3. All the Dematerialisation requests received during the period under report were confirmed within 21 days.

Thanking You

Yours faithfully,  
for KFIN TECHNOLOGIES PRIVATE LIMITED

*K. Raj*

Raj Kumar Kale  
Senior Manager

**KFin Technologies Private Limited**

(Formerly known as Karvy Fintech Private Limited)

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.  
Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649

NATIONAL SECURITIES DEPOSITORY LIMITED  
TRADE WORLD 4TH FLOOR  
KAMALA MILLS COMPOUND  
SENAPATI BAPAT MARG LOWER PAREL  
MUMBAI - 400 013

Dear Sir(s),

Sub: Certificate under Regulation 74(5) for the quarter ended September 30, 2020

We as Registrars, Share Transfer and Depository Services agents of the DLF LIMITED, certify that no requests for dematerialization/rematerialization during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 were received and the same has been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking you,

Yours faithfully,

For KFIN TECHNOLOGIES PRIVATE LIMITED



Raj Kumar Kale  
Senior Manager

CC TO:

THE COMPANY SECRETARY DLF LIMITED  
SHOPPING MALL, 3RD FLOOR ARJUN MARG PHASE - I DLF CITY GURGAON  
(HARYANA) 122002

KFIN/MRVS/CDSL/74(5) E/1  
Date: 19/10/2020

**KFin Technologies Private Limited**

Formerly known as: KFin Technologies Private Limited

**Registered & Corporate Office**

Sarvesam Building, Tower B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana, India, 500032  
Ph: +91 40 6716 2222, 2961 1000 | <http://www.kfintech.com> | CIN: U7240015201709111949

CENTRAL DEPOSITORY SERVICES (INDIA) LTD.  
REGD OFF: MARATHON FUTUREX, A WING,  
25TH FLOOR NM JOSHI MARG,  
LOWER PAREL (EAST)  
MUMBAI - 400 023

Dear Sir(s),


Sub: Certificate under Regulation 74(5) for the quarter ended September 30, 2020

We as Registrars, Share Transfer and Depository Services agents of the DLF LIMITED, certify that no requests for dematerialization/rematerialization during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 were received and the same has been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking you,

Yours faithfully,

For KFIN TECHNOLOGIES PRIVATE LIMITED



Raj Kumar Kale  
Senior Manager

CC TO:

THE COMPANY SECRETARY DLF LIMITED  
SHOPPING MALL, 3RD FLOOR ARJUN MARG PHASE - I DLF CITY GURGAON  
(HARYANA) 122002

KFIN/MRVS/CDSL/74(5) E/1  
Date: 19/10/2020