



ANIK INDUSTRIES LIMITED

CIN - L24118MH1976PLC136836

Corporate Office :

2/1, South Tukoganj, Behind High Court,

Indore - 452001 (M.P.), INDIA

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Website : www.anikgroup.com

11th June, 2020

To,

The BSE Limited
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai-400 001

National Stock Exchange of India Ltd.,
"Exchange Plaza",
Bandra-Kurla Complex,
Bandra (E),
Mumbai - 400 051

Dear Sir/ Madam,

Sub: Annual Secretarial Compliance Report for the Financial Year Ended 31st March, 2020 under Regulation 24A of SEBI (LODR) Regulations, 2015.

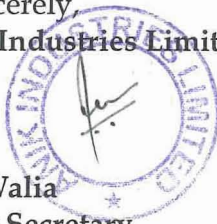
Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019; please find attached herewith the "Annual Secretarial Compliance Report" for the Financial Year ended 31st March, 2020 issued by Ajit Jain & Co., Company Secretaries, Indore.

You are requested to take the same on your records and oblige.

Thanking you,

Yours sincerely,
For Anik Industries Limited

Ishmita Walia
Company Secretary



Secretarial compliance report of ANIK INDUSTRIES LIMITED for the year ended 31.03.2020

(Pursuant to SEBI Circular CIR/CFD/CMD1/27/2019 dated February, 08, 2019)

We AJIT JAIN & CO., PRACTICING COMPANY SECRETARY have examined:

- all the documents and records made available to us and explanation provided by **ANIK INDUSTRIES LIMITED** (CIN: L24118MH1976PLC136836) ("the listed entity"),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31ST MARCH, 2020 ("Review Period") in respect of compliance with the provisions of :

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (NOT APPLICABLE DURING REVIEW PERIOD)
- Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulations, 1993 as applicable



and circulars/ guidelines issued thereunder;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 33 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015	The Audited Financial Results for the Quarter and year ended 31 st March, 2019 was not submitted within 60 Days from the end of the Financial Year.	Company submitted audited financial results for the quarter and year ended 31 st March, 2019 after due date.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	Stock Exchanges (BSE and NSE)	Non-Compliance of Regulation 33 of SEBI (Listing and Disclosure Requirements), 2015.	Fine was imposed by the BSE Ltd. and the National Stock Exchange of India Ltd. for late submission of Audited Financial Results the Quarter and year ended 31 st March, 2019.	The Audited Financial Results for the Quarter and year ended 31 st March, 2019 was not submitted within 60 Days from the end of the Financial Year.

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:



Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the Secretarial compliance Report for the year ended...--	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	Non compliance of Regulation 33 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 - The Financial Results for the Quarter and year ended 31 st March, 2018, quarter ended 30 th September, 2018 and quarter ended 31 st December, 2018 was not submitted within due date.	For the year ended 31 st March, 2019	Financial results for the quarter and year ended 31 st March, 2018, quarter ended 30 th September, 2018 and quarter ended 31 st December, 2018 has been submitted after due date.	Company submitted financial results for the quarter and year ended 31 st March, 2018, quarter ended 30 th September, 2018 and quarter ended 31 st December, 2018 after due date.
2.	Non compliance of Regulation 17(1)(a) and 17(1)(b) of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 - The half of the board of directors did not comprise of non-executive directors and half of the board of directors did not comprise of independent directors during the quarter ended 30 th June, 2018	For the year ended 31 st March, 2019	Company appointed one independent director and complied with said provisions.	Due to resignation of Independent Directors during the quarter ended 30 th June, 2018, the Company had not complied with the same. In the next quarter Company appointed one independent director and complied with said provisions.
3.	Non compliance of Regulation 19 of SEBI (Listing obligations and Disclosure Requirements) Regulation, 2015 - Number of members is less than three during the quarter ended 30 th June, 2018.	For the year ended 31 st March, 2019	Company appointed one independent director and complied with said provision.	Due to resignation of two independent directors during the quarter ended 30 th June, 2018, the Company had not complied with the same. In the next quarter Company appointed one independent director and complied with said provision.

Place: Indore
Date: 10.06.2020



For Ajit Jain & Co., Company Secretaries

A. K. Jain

CS Ajit Jain (Proprietor)
FCS No.: 3933
C. P. No.: 2876

UDIN NO. F003933B000332283