

April 21, 2021

General Manager,
Department of Corporate Services
Bombay Stock Exchange Limited,
Floor 25, P.J. Towers,
Dalal Street,
Mumbai-400 001

Dear Sir,

Subject: Compliance with the requirement of Regulation 40(9) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015

In Compliance with the requirements of the Regulation 40(9) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 we submit herewith certificate dated April 20, 2021 issued by P.P Shah & Co., Company Secretaries under sub-regulation (9) of Regulation 40 of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended on March 31, 2021.

Kindly acknowledge receipt.

Yours faithfully,
For Forbes & Company Limited



Pankaj Khattar
Head Legal & Company Secretary

Encl: As above

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31ST MARCH, 2021

I have examined all relevant Registers, files and other documents relating to *M/s. Forbes & Company Limited* having its Registered Office situated at Forbes' Building, Charanjit Rai Marg, Fort, Mumbai – 400 001 maintained by *M/s. TSR Darashaw Consultants Private Limited*, Registrar & Share Transfer Agents (RTA) having its office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai: 400 083 pertaining to transfer of equity shares (transfer deeds of which were lodged prior to April 1, 2019 and returned due to deficiency and re-lodged during the half year ended March 31, 2021) of the Company for the period from **01st October, 2020 to 31st March, 2021** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company / RTA, I hereby certify that the Company / RTA has delivered **during half year ended on 31st March, 2021:**

A) (i) Share Certificate(s) relating to the transfer of shares received during the period from **01st October, 2020 to 31st March, 2021** as entered in the Memorandum of Transfers have been issued within fifteen days of the respective dates of lodgement of each deed as stipulated under Regulation 40(8) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Share Certificate(s) relating to requests for transmission of shares received during the aforesaid period have been issued within twenty one days after receipt of the specified documents.

(ii) Issue of Duplicate share certificate during the period from **01st October, 2020 to 31st March, 2021** has been issued within thirty days from the date of lodgment as stipulated under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015. Further, during the period there was no split, consolidation, exchange or endorsement of calls / allotment monies.

B) *Any other information, if applicable: Not Applicable*

The Equity shares of the Company are listed on BSE Limited.

For P. P. SHAH & CO.

Pradip C. Shah

PRADIP C. SHAH
Practicing Company Secretary
Partner

Membership No: 1483
Certificate of Practice: 436
UDIN: F001483C000137751

Date: 20th April, 2021
Place: Mumbai

