



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

May 5, 2020

The Secretary
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub.: Certificate in terms of Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“said Regulations”) on behalf of Novartis India Limited (“the Company”) for the quarter ended on March 31, 2020

Ref.: 1. **ISIN - INE234A01025**
2. **Scrip Code - 500672**

Dear Sir/ Madam,

In reference to the above captioned subject, the certificate dated April 29, 2020 issued by the Registrar and Transfer Agent of the Company i.e. Link Intime India Private Limited, in terms of the provisions of the said Regulations, for the quarter ended on March 31, 2020 is enclosed herewith for your records as **Annexure 1**.

This is for your information and record.

Thanking you.

Yours sincerely,

For **Novartis India Limited**

Sd/-
Trivikram Guda
Company Secretary &
Compliance Officer

Encl.: as above

Cc:

The Secretary
National Securities Depository Limited
Trade World, A wing, 4th Floor, Kamala Mills
Compound, Lower Parel, Mumbai - 400013

The Secretary
Central Depository Services (India) Limited
Marathon Futurex, A-Wing, 25th Floor, NM Joshi
Marg, Lower Parel, Mumbai 400013



Link Intime India Pvt. Ltd.

CIN : U67190MH1999PTC118368
C-101, 247 Park, L.B.S. Marg,
Vikhroli (West), Mumbai - 400 083
Phone: +91 22 4918 6000
Fax: +91 22 4918 6060
Email: mumbai@linkintime.co.in
Website: www.linkintime.co.in

The Compliance Officer / Company Secretary

Novartis India Limited

Inspire BKC, 6th and 7th Floor,
Bandra Kurla Complex,
Bandra (East),
Goregaon East
Mumbai - 400051

Date : 29.04.2020

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st March, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

We request you to kindly take note of the above in your records subject to the note given below.

Note :Due to COVID 19 and the proactive steps taken by Govt of India in declaring a 21 days lockdown effective from March 24, 2020 and prior to this date the advisory/prohibitory orders issued by the Government of India and various State Governments effective from mid of March 2020. certain Demat / remat transactions inwarded by our office from the period first week of March, 2020 which was to be processed and released within 15 days / 30 days as the case may be, has not been processed/released since our office was functional with bare minimum staff reporting to office from mid-March 2020 onwards. Further, both the Depositories have issued circulars that from March 23, 2020 to April 30, 2020 the processing of demat requests will be excluded for computing the existing timelines specified and further 15 days time period will be allowed to clear backlogs after April 30, 2020. Also, please note during this challenging times certain transaction processed might be pending for release; certain DRN generated by DPs electronically but physical documents might not have been despatched by the DP; or might have been despatched by the DP but not received by us/not inwarded which has arisen due to the extraordinary circumstances as explained in detail.

Thanking You,

Yours faithfully,
For Link Intime India Pvt. Ltd

Sd/-
Ashok Shetty
Vice President – Corporate Registry