

Industrial Growth Center, Siltara  
Raipur (CG) 493111, India  
Tel: +91 771 2216100  
Fax : +91 771 2216198/99  
PAN No.: AAACR6149L  
CIN : L27100MH1973PLC 016617  
www.seml.co.in  
info@seml.co.in

An ISO 9001, ISO 14001 & OHSAS 18001

Certified Company



15<sup>th</sup> October, 2020

BSE Ltd  
The Department of Corporate Services  
Phiroze Jeejeebhoy Towers  
Dalal Street - Mumbai 400 001

National Stock Exchange of India Ltd.  
Exchange Plaza, Bandra Kurla Complex  
Bandra (E), Mumbai - 400051  
Fax. No: 022-26598237/38, 022-26598347/48

Security Code No. : 504614

Symbol: **SARDAEN**

Series : **EQ**

Dear Sir,

Sub: Compliance under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned subject, please find enclosed herewith the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by a Company Secretary in Wholetime practice for the half year ended on 30<sup>th</sup> September, 2020.

You are requested to take the same on records.

Thanking you,

Yours faithfully,  
For Sarda Energy & Minerals Ltd.

  
Company Secretary

Encl: As above



**SURENDRA KUMAR JAIN**

FCS, ACA, FCMA

Practising Company Secretary

**CERTIFICATE UNDER REGULATION 40 (9) OF  
THE SEBI (LODR) REGULATIONS, 2015  
For The Half Year Ended 30th September, 2020**

I have examined all records, Registers, Files and other documents relating to Sarda Energy & Minerals Limited maintained by M/s. Bigshare Services Private Limited (R & T Agent) pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company/R & T Agent, I hereby certify that the Company / R & T Agent has not received any request for Transfer of Shares, Transmission, Transposition, subdivision, consolidation, renewal, name deletion/replacement, exchange of duplicate and split certificates etc. during half year ended on 30th September, 2020.



Place: Raipur  
Date: 14th October, 2020

**SURENDRA KUMAR JAIN**  
**COMPANY SECRETARY**  
C. P. No. - 2192  
M. No. - F3896

**UDIN: F003896B000947751**