



**V.B.S.S. Prasad**  
F.C.S., B.L.,  
COMPANY SECRETARY

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**Secretarial compliance report of SAMKRG PISTONS AND RINGS LIMITED for the year  
ended 31.03.2019**

I, VBSS PRASAD have examined:

- (a) all the documents and records made available to us and explanation provided by **SAMKRG PISTONS AND RINGS LIMITED**,
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity, <http://www.samkrgpistonsandrings.com/>
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31.03.2019 in respect of compliance with the provisions of :
  - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
  - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

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- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- and based on the above examination, I/We hereby report that, during the Review Period:

***As per the Management representation letter given by the concerned authority of the Company it is confirmed that the Directors, Promoters, Employees and Auditors of the Company were not directly or indirectly involved in the trading of Shares of the company during the period under which the trading window was closed.***

***Required forms were filed with MCA, additional fee paid wherever required.***

***Company appointed Company Secretary with effect from 20<sup>th</sup> April, 2019 as required under Section 203 of the Companies Act, 2013 and Regulation 6 of SEBI (LODR) Regulations 2015.***

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 6 of SEBI(LODR) regulations, 2015	During the period under review Company secretary was not appointed.	However Company Secretary was appointed on 20 <sup>th</sup> April, 2019.



The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE Limited	Regulation 6 of SEBI(LODR) regulations, 2015	A notice was issued by BSE Ltd., For not having Company Secretary	However Company Secretary was appointed on 20 <sup>th</sup> April, 2019.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Being the first report, Not applicable				

Place: Hyderabad  
Date: 14/05/2019

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