



# UTTAM SUGAR MILLS LIMITED

Corporate Office : A-2E, III Floor, CMA Tower, Sector-24, NOIDA-201 301 Uttar Pradesh, India  
Telephone : 0120-4525000 E-mail : uttamsugarnoida@gmail.com

August 13, 2022

**National Stock Exchange of India Ltd.**  
**Listing Department**  
"Exchange Plaza", Bandra-Kurla Complex,  
Bandra (E), Mumbai - 400 051

**B.S.E. Limited**  
**Listing Department**  
P.J. Tower,  
Dalal Street,  
Mumbai - 400 001  
**Ref. - Scrip Code - 532729**

**Ref. :- Symbol - UTTAMSUGAR**

Dear Sirs,

**Sub. :- Disclosure under Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

This is to inform you that the Company has received a disclosure dt. 13<sup>th</sup> August, 2022 under Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015 from the following promoters of Uttam Sugar Mills Limited for release of Pledged shares.

Sl. No.	Name of Promoters	No. of Shares Released
1.	M/s Uttam Industrial Engineering Private Limited	27,50,000
2.	M/s Uttam Sucrotech Limited	3,00,000
3.	M/s Lipi Boilers Private Limited	29,50,000
<b>Total</b>		<b>60,00,000</b>

In terms of the provisions of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are sending herewith the abovesaid disclosure for your records.

Thanking you

Yours' faithfully,

For Uttam Sugar Mills Limited

  
(RAJESH GARG)

Joint Company Secretary & Compliance Officer

Encl. :- As above.



**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015**  
**[Regulation 7 (2) read with Regulation 6(2) - Continual disclosure]**

To,  
**The Board of Directors**  
**Uttam Sugar Mills Limited**  
**A-2E, 3<sup>rd</sup> Floor, CMA Tower,**  
**Sector 24, Noida-201301**

**ISIN-INE786F01031**

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Director s/immediate relative to/others etc.)	Securities held prior to acquisition/ disposal		Securities acquired/disposed/release of pledge			
		Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of share holding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value (Rs. In Cr.)	Transaction Type (Purchase/sale/ Pledge / Revocation / Invocation/ Others, please specify)
1	2	3	4	5	6	7	8
Uttam Industrial Engineering Pvt. Ltd. PAN- AAACU1074B CIN- U74899DL1983PTC 015815 ADD- 7C,1st Floor, 'J' Block Shopping Centre, Saket, New Delhi-110017 CONTACT NO- 0120-4525000	Promoter	Equity Shares	27,50,000 (7.2106%)	Equity Shares	27,50,000 (7.2106%)	74.57 (Closing price on 12.08.2022 on NSE)	Revocation / Release

Securities held post acquisition/ disposal/pledge/release of pledge		Date of release of pledge/allotment advice/ acquisition of shares/ disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/ public/ rights/ preferential offer/ off market/ Inter-se transfer, ESOPs, etc.)	Exchange on which the trade was executed
Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	From	To			
9	10	11	12	13	14	15
Equity Shares	27,50,000 (7.2106%)	12.08.2022	12.08.2022	13.08.2022	Pledge release	NA

**Note:**

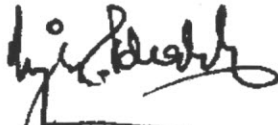
- (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.  
(ii) Value of transaction excludes taxes/brokerage/any other charges

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sale		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
16	17	18	19	20	21	22
-----NOT APPLICABLE-----						

*Note: In case of Options, notional value shall be calculated based on premium plus strike price of options*

For Uttam Industrial Engineering Private Limited (Promoter)



(Rajan Adlakha)  
Managing Director, DIN : 00040741,

Date: 13.08.2022

Place: Delhi

**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015**  
**[Regulation 7 (2) read with Regulation 6(2) - Continual disclosure]**

To,  
**The Board of Directors**  
**Uttam Sugar Mills Limited**  
**A-2E, 3<sup>rd</sup> Floor, CMA Tower,**  
**Sector 24, Noida-201301**

**ISIN-INE786F01031**

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		Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of share holding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value (Rs. In Cr)	Transaction Type (Purchase/sale/ Pledge / Revocation / Invocation / Others, please specify)
1	2	3	4	5	6	7	8
Uttam Sucrotech Limited PAN- AAACU4042K CIN- U29221UP1981PLC 005493 ADD- C-42 Meerut Road, Industrial Area Ghaziabad Uttar Pradesh Up 201003 CONTACT NO- 0120-4525000	Promoter	Equity Shares	3,14,267 (0.8240%)	Equity Shares	3,00,000 (0.7866%)	8.13 (Closing price on 12.08.2022 on NSE)	Revocation / Release

Securities held post acquisition/ disposal/pledge/release of pledge		Date of release of pledge/allotment advice/ acquisition of shares/ disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/ public/ rights/ preferential offer/ off market/ Inter-se transfer, ESOPs, etc.)	Exchange on which the trade was executed
Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	From	To			
9	10	11	12	13	14	15
Equity Shares	3,14,267 (0.8240%)	12.08.2022	12.08.2022	13.08.2022	Pledge release	NA

**Note:**

(i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.


(ii) Value of transaction excludes taxes/brokerage/any other charges

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Trading in derivatives (Specify type of contract, Futures or Options etc)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sale		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
16	17	18	19	20	21	22
-----NOT APPLICABLE-----						

*Note: In case of Options, notional value shall be calculated based on premium plus strike price of options*

**For Uttam Sucrotech Limited (Promoter)**

  
**(Harnand Tyagi)**  
**Director, DIN: 00159923**

**Date: 13.08.2022**  
**Place: Delhi**

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**[Regulation 7 (2) read with Regulation 6(2) - Continual disclosure]**

To,  
**The Board of Directors**  
**Uttam Sugar Mills Limited**  
**A-2E, 3<sup>rd</sup> Floor, CMA Tower,**  
**Sector 24, Noida-201301**

**ISIN-INE786F01031**

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		Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of share holding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value (Rs. In Cr.)	Transaction Type (Purchase/sale/ Pledge / Revocation / Invocation/ Others please specify)
1	2	3	4	5	6	7	8
Lipi Boilers Private Limited PAN- AAACL1650L CIN- U28131MH1974PT C017766 ADD- Mahindra Chambers May Fair, A-4, Dhole Patil Road Pune-411001  CONTACT NO- 0120-4525000	Promoter	Equity Shares	35,15,000 (9.2165%)	Equity Shares	29,50,000 (7.7350%)	79.99 (Closing price on 12.08.2022 on NSE)	Revocation / Release

Securities held post acquisition/ disposal/pledge/release of pledge		Date of release of pledge/allotment advice/ acquisition of shares/ disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/ public/ rights/ preferential offer/ off market/ Inter-se transfer, ESOPs, etc.)	Exchange on which the trade was executed
Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	From	To			
9	10	11	12	13	14	15
Equity Shares	35,15,000 (9.2165%)	12.08.2022	12.08.2022	13.08.2022	Pledge release	NA

**Note:**

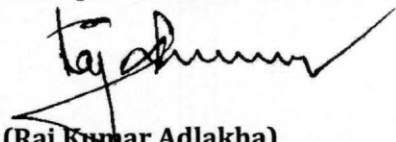
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		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
16	17	18	19	20	21	22
-----NOT APPLICABLE-----						

*Note: In case of Options, notional value shall be calculated based on premium plus strike price of options*

**For Lipi Boilers Private Limited (Promoter)**



**(Raj Kumar Adlakha)**  
**Director, DIN : 00133256**

**Date: 13.08.2022**

**Place: Delhi**