

DB (International) Stock Brokers Ltd.

- NSE & BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- NSE, BSE, MCX, ICEX: COMMODITIES
- DEPOSITORY PARTICIPANT: CDSL, IPO, MUTUAL FUND & BONDS

Corp. Office: 402, New Delhi House, 27, Barakhamba Road, New Delhi -110001

Tel.:011-43606162, Website: www.dbonline.in, E-Mail: compliance@dbonline.in

CIN: L67120HR1992PLC035349

Dated: 26th October 2020

To, Listing Department National Stock Exchange of India Ltd. Exchange Plaza Plot no. C/1, G Block Bandra Kurla Complex Bandra (E) Mumbai 400 051 NSE Symbol: DBSTOCKBRO	To, Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street Mumbai- 400001 Scrip Code:530393
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Dear Sir/Ma'am,

Sub: Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015 for the half year ended on 30.09.2020

Pursuant to clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, we are enclosing certificate dated 23.10.2020 from the Practicing Company Secretary, certifying compliance with the aforesaid provisions for the half year ended on 30.09.2020 (Period from 01.04.2020 to 30.09.2020).

This is for your information and records.

Thanking you,

Yours faithfully,

**For and on behalf of
DB (International) Stock Brokers Limited**

For DB (International) Stock Brokers Ltd


Managing Director

Shiv Narayan Daga

Managing Director

DIN: 00072264

Encl: As above

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **DB (International) Stock Brokers Limited** maintained by **Abhipra Capital Limited** pertaining to transfer of equity shares of the company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per **Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations & Disclosure Requirements) Regulations, 2015** entered into by **DB (International) Stock Brokers Limited with BSE Limited and National Stock Exchange of India Limited** and based on the information provided by the Company, I hereby certify that-

- A. Share Certificate(s) relating to the transfer of shares received, if any, during the period from 1st April, 2020 to 30th September, 2020 as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer. Further, there were no requests relating to registration of sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of Shares/Debentures during the period under scrutiny.
- B. No share certificate(s) has been issued in respect of duplicate and split certificate(s) as there is no such request received by the company.

For & on behalf of
Vikas Verma & Associates
Company Secretaries
FRN: P2012DE081400



Vikas Kumar Verma
Vikas Kumar Verma
Managing Person
M. No.: F9192
C.P. No.: 10786

Date: - 23.10.2020
Place: - New Delhi
UDIN: F009192B001046723