

December 14, 2023

The Secretary
BSE Limited
Pheeroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400 001

The Secretary
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No- 'C Block, G Block
Bandra-Kurla Complex, Bandra (East)
Mumbai 400 051

Scrip Code: 531595

Scrip Code: CGCL

Sub: Appointment of Chief Compliance Officer

Dear Sir / Madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform that, as approved and recommended by Nomination and Remuneration Committee the Board of Directors have appointed Mr. Satish Shimpi as Chief Compliance Officer of the Company, being part of Senior Management, with effect from December 18, 2023 (Other disclosures and brief profile attached as Annexure - I).

Further, we wish to inform that Mr. Shimpi is also appointed as Principal Officer for Financial Intelligence Unit under The Prevention of Money Laundering Act, 2002, Nodal Officer, Nodal Officer for Customer Grievance and Internal Ombudsman Principal Nodal Officer in compliance with Master Direction issued by Reserve Bank of India.

This is for your information and record.

Thanking you,

Yours faithfully,
for Capri Global Capital Limited

Yashesh Bhatt
Company Secretary & Compliance Officer
Membership No. 20491

Encl.: a/a



Capri Global Capital Limited

(CIN: L65921MH1994PLC173469)

502, Tower - A, Peninsula Business Park, Senapati Bapat Marg, Lower Parel, Mumbai, Maharashtra - 400013

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Annexure - I

Sr. No	Particulars	Disclosures
1.	Name of Director/KMP-/ Senior Management and Designation	Mr. Satish Shimpi, Chief Compliance Officer
2.	Reason for change viz. appointment, resignation, removal, death or otherwise.	Appointment
3.	Date of Appointment	Appointment with effect from December 18, 2023
4.	Brief Profile	<p>Mr. Shimpi has worked in various roles like Head Compliance, Group Compliance Officer, Principal Officer (FIU-INDIA), Principal Nodal Officer (RBI Ombudsman Scheme), Grievance Redressal Officer, Regional Head, etc. He has set-up Compliance Function, put in place necessary policies and inculcate compliance culture. He has handled RBI (DOS, DPSS) Inspections, Special Scrutinizes, NHB Inspections and further responses to the inspection reports, scrutinize and closure. He has formulated and reviewed compliance policies, vetted policies, products, processes. He has widely travelled in India for Compliance testing/conducting Compliance workshop towards adherence with regulatory/statutory guidelines.</p> <p>His most recent role was as Head Compliance & Grievance Redressal Officer for Bajaj Housing Finance Ltd. He has also held leadership positions in Compliance Department at organizations such as Bajaj Housing Finance Ltd, Bandhan Bank, IndusInd Bank and Bank of India.</p> <p>Mr. Shimpi is a graduate (I Class) from Mumbai University along with Diploma in Foreign Trade Management, Post Graduate Diploma in Banking, Insurance Organisation & Management from Jai Narayan Vyas University, Jodhpur, One year Program in Leading & Managing (PLAM-II) from IIM Calcutta, CAIIB and Certified Banking Compliance Professional - IIBF.</p>
5.	Disclosure of Relationship between Directors. (in case of appointment of Director)	Not Applicable

