



NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas master Road, Fort, Mumbai - 400023 Maharashtra

Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

September 28, 2021

The Deputy Manager
Department of Corporate Services
BSE Limited
P. J. Towers, Dalal Street, Fort
Mumbai - 400 001

Re: Scrip Code 530557

Sub: Submission of Scrutinizer Report

Respected Sir/Madam,

With reference to the above, we are enclosing along with this letter, Scrutinizer Report for the E-Voting and Ballot; issued & certified by M/s. Kriti Daga, Practicing Company Secretaries, who was appointed as Scrutinizer for both e-voting and voting by Ballot for transacting the businesses at the 36th Annual General Meeting of the Company held on 28th September 2021 at 12.00 Noon.

Kindly take the same on your record & oblige.

Thanking You,

Yours Faithfully,
For NCL RESEARCH & FINANCIAL SERVICES LIMITED

GOUTAM BOSE
DIN: 02504803
MANAGING DIRECTOR

Enclosed: a/a



Kriti Daga

Company Secretaries

4, Ho Chi Minh Sarani, KBR Complex, Flat No. 3C, Kolkata – 700 071

Mobile: +91 98361 62295, Email: kritisdaga@gmail.com

Consolidated Report of Scrutinizer on Remote E-voting and E-voting at the 36th Annual General Meeting of the Company

[Pursuant to Section 108 and 109 of the Companies Act, 2013 and Rule 20(4) (xii) and Rule 20(2) of the Companies (Management & Administration) Rules, 2014 as amended]

To
The Managing Director
NCL Research & Financial Services Limited

Dear Sir,

I, Kriti Daga, Practicing Company Secretary, Kolkata, appointed as Scrutinizer for the purpose of scrutinizing the e-voting and e-voting process of **NCL Research & Financial Services Limited** ("the Company") in a fair and transparent manner, for the below mentioned resolution(s) as contained in the AGM Notice of August 10, 2021, results of which have been declared on Tuesday, 28th September 2021.

The management of the Company is responsible to ensure the Compliances with the requirements of Companies Act, 2013 and Rules relating to voting through electronic means and ballot papers on the resolutions contained in the AGM Notice dated August 10, 2021. My responsibility as a Scrutinizer for the voting process is restricted to make a Scrutinizers' Report of the votes cast "FOR" or "AGAINST". The Resolutions are based on the reports generated from the process of remote e-voting and ballot paper.

Further to the above, I submit my Report as under:-

1. The remote e-voting period commenced on Saturday 25th September, 2021 at 9.00 A.M. and concluded on Monday, 27th September 2021 at 5.00 P.M. The e-voting services were provided by National Securities Depository Ltd. (NSDL)
2. The Shareholders holding shares as on "cut-off date" i.e. September 21, 2021 were entitled to vote on the proposed resolutions stated in the AGM Notice dated August 10, 2021.
3. The Result of remote e-voting/Ballot/Poll along with the list of Shareholders who voted "For" and "Against" the below Resolutions were downloaded from the e-voting website of NSDL i.e. <https://www.evoting.nsdl.com>
4. The facility of voting by electronic means (e-voting) at the Annual General Meeting through VC/OAVM was provided by NSDL pursuant to circular no. 20/2020 dated 5th May 2020 issued by Ministry of Corporate Affairs (MCA).
5. The names of Shareholders who have voted by remote e-voting through the facility provided by NSDL had been blocked and have not been allowed to vote at the Annual General Meeting.
6. Shareholders who were present at the Annual General Meeting through VC/OAVM and had not voted on remote e-voting were allowed to cast their votes through e-voting system of NSDL/PURVA during the Annual General Meeting.
7. The consolidated results of Remote e-voting and e-voting at the Annual General Meeting are as under-



Kriti Daga

Company Secretaries

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Resolution No. 1 (Ordinary Resolution)

To receive, consider and adopt the Audited Standalone Financial Statements of the Company for the financial year ended 31st March, 2021 and the Reports of the Board of Directors and Auditors thereon

Voted For Resolution

Mode of Voting	Number of Members participated in the e-voting and physical ballot	No. of votes casted in favour of Resolution	% of total number of valid votes cast
Remote E-voting	68	5465589	100.00%
E-voting at AGM	-	-	-
Total	68	5465589	100.00%

Voted against of Resolution

Mode of Voting	Number of Members participated in the e-voting and physical ballot	No. of votes casted against Resolution	% of total number of valid votes cast
Remote E-voting	1	31	00.00%
E-voting at AGM	-	-	-
Total	1	31	00.00%

Invalid votes

Mode of Voting	Number of Members participated in the e-voting and physical ballot	No. of votes casted by them
Remote E-voting	Nil	Nil
E-voting at AGM	-	-
Total	Nil	Nil

The relevant records relating to electronic voting shall remain in our safe custody until the Chairman & Managing Director considers, approves and signs the minutes of 36th AGM Notice dated 10th August 2021. Thereafter, the same shall be handed over to the Company Secretary or Chairman for safe keeping.

KRITI DAGA

Practicing Company Secretaries
ACS No. 26425, C.P. No. 14023

Place: Kolkata

Date: September 28, 2021

UDIN: A026425C001021419