

GG ENGINEERING LTD.

(An Authorized OLM for Tata Motors Limited)

An ISO 9001 : 2015 Certified Company

Date: 24th June, 2021

To,
The General Manager,
DCS-CRD
BSE Limited
Phirozejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir,

Scrip Code: 540614
BSE Scrip ID: GGENG

Sub: Secretarial Compliance Report under Regulation 24A of the SEBI (LODR) Regulations, 2015 for the year ended on 31st March ,2021

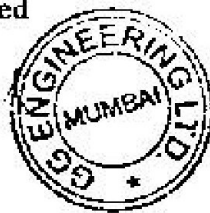
We are enclosing herewith **Secretarial Compliance Report under Regulation 24A** of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by M/s. Bhuvnesh Bansal & Associates practicing Company Secretary for the year ended on 31st March,2021.

Please take it on your record and oblige us.

For G G Engineering Limited

Vinod Beriwal

Vinod Beriwal
Managing Director



Enclosure: as above

**Secretarial Compliance Report of G G Engineering Limited for the year
Ended 31st March, 2021**

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015]

To,

The Board of Directors,
G G Engineering Limited
Office No. 203,2nd Floor,
Shivam Chambers Coop Soc Ltd.,
S.V Road, Goregaon West,
Near Sahara Apartment,
Mumbai – 400104

We, Bhuwadesh Bansal & Associates, Practicing Company Secretary firm have examined:

- (a) all the documents and records made available to us and explanation provided by G G Engineering Limited (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document / filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;

- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participant) regulations, 2018;
- and circulars/ guidelines issued thereunder;

and based on the above examination and considering the relaxations granted by the Ministry of Corporate Affairs and Securities and Exchange Board of India warranted due to the spread of the COVID-19 pandemic, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations / circulars/ guidelines including specific clause)	Deviations	Observations/ remarks of the Practicing Company Secretary, if any.
1.	<i>Financial Results for the quarter ended 30th June, 2020 under Regulation 33 of SEBI (LODR), Regulations, 2015</i>	<i>Financial Results for quarter ended 30th June 2020 was not submitted with BSE Limited on due date.</i>	<i>Financial Results for quarter ended 30th June 2020 was submitted by the Company on 13th November, 2020 which was delayed by 59 days.</i>

2.	<i>Intimation of Closure of Trading Window under “Codes of Fair Disclosure and Conduct” read with SEBI (Prohibition of Insider Trading) Regulations, 2015”</i>	<i>Intimation of Closure of Trading Window for quarter ended 30th June, 2020 was not submitted on due date</i>	<i>Intimation of Closure of Trading Window for quarter ended 30th June, 2020 was submitted on 24th July, 2020 which was delayed by 24 days.</i>
3.	<i>Disclosure of Related party transactions under Regulation 23 of SEBI (LODR), Regulations, 2015</i>	<i>Disclosure of Related party transactions for half yearly ended 30th September, 2020 was not submitted with BSE Limited on due date.</i>	<i>Disclosure of Related party transactions for half yearly ended 30th September, 2020 was submitted on 23rd January, 2021 which was delayed by 42 days.</i>

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following actions were taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE Limited	Regulation 23(9) Non Compliance with disclosure of Related Party Transactions. BSE Limited sent the Notice to the Company by e-mail on 18 th January, 2021.	Fine of Rs. 5,000 per day till the date of compliance. Total fine of Rs 206500 including GST.	The Company has submitted reply to BSE limited on 25 th January, 2021 for waiver of fine imposed by BSE Limited.

2.	BSE Limited	Regulation 33 Non Submission of Financial results for the Quarter ended June 2020. BSE Limited sent the Notice to the Company by e-mail on 16 th October, 2020.	Fine of Rs. 5,000 per day till the date of compliance. Total fine of Rs 182900 including GST.	The Company has submitted reply to BSE limited on 20 th October 2020 for waiver of fine imposed by BSE Limited.
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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	N.A	N.A	N.A	N.A

Place: Mumbai
Date: 21st June, 2021

For Bhwnesh Bansal & Associates

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BANSAL

Digitally signed by
BHUNESH BANSAL
Date: 2021.06.21
17:01:07+05:30

Bhwnesh Bansal
Proprietor

FCS No. – 6526

CP No. – 9089

UDIN - F006526C000491351