



May 07, 2020

<b>The Manager, Listing Department, BSE Limited, PhirozeJeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 532636</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFL</b>
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**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020.**

Dear Sir/Madam,

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking you,

Yours faithfully,

**For IIFL Finance Limited  
(Formerly known as IIFL Holdings Limited)**

**Gajendra Thakur  
Company Secretary  
Membership No. A19285  
Place: Mumbai**

Encl: as above

**IIFL Finance Limited (formerly known as IIFL Holdings Limited)  
CIN No.: L67100MH1995PLC093797**

Corporate Office – 802, 8<sup>th</sup> Floor, Hub Town Solaris, N.S. Phadke Marg, Vijay Nagar, Andheri East, Mumbai 400069

Tel: (91-22) 6788 1000 .Fax: (91-22) 6788 1010

Regd. Office – IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane – 400604

Tel: (91-22) 41035000. Fax: (91-22) 25806654 E-mail: csteam@iifl.com Website: www.iifl.com

## Company Secretaries

Ref: \_\_\_\_\_

**UDIN: F004554B000212201**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**


**FOR THE HALF YEAR ENDED 31.03.2020**

I have examined all ~~Share Transfer Deeds~~, Memorandum of Transfers Registers, files and other documents relating to **IIFL FINANCE LIMITED** (Formerly known as IIFL HOLDINGS LIMITED) maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Finance Limited (Formerly known as IIFL HOLDINGS LIMITED) and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Transposition, Name Deletion, Sub-division, Consolidation, Replacement / Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 31<sup>st</sup> March, 2020.

**Note:** Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 07/05/2020

Place: Mumbai

Signature:   
" 07/05/2020  
**NILESH G. SHAH.**  
Company Secretary  
C. P. No: 2631

