

April 20, 2021

To
BSE Limited
Department of Corporate Services,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400001.

BSE Scrip Code: 533014

To
National Stock Exchange of India Limited
Listing Department,
Exchange Plaza, Bandra Kurla Complex,
Bandra [E],
Mumbai 400 051.

NSE Scrip Code : SICAGEN

Dear Sir/Madam,

Sub: Compliance Certificate pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we herewith enclose a copy of Compliance Certificate dated 19.04.2021, received from M/s. KRA & Associates, Practicing Company Secretaries, Chennai for the half year ended 31st March, 2021 for your records.

The above intimation may kindly be taken on record.

Thanking You,

Yours Sincerely,

For **Sicagen India Limited**

A handwritten signature in blue ink, appearing to read "R. Srikrishna".

R Srikrishna
Company Secretary & Compliance Officer

Sicagen India Ltd.

Registered & Corporate Office :
4th Floor, Spic House, 88, Mount Road, Guindy, Chennai-600 032, INDIA
Tel : +91 44 4075 4075 | Fax: +91 44 4075 4999 | info@sicagen.com
CIN : L74900TN2004PLC053467 | www.sicagen.com

KRA & ASSOCIATES PRACTICING COMPANY SECRETARIES

PARTNERS

 **R.KANNAN**

 **AISHWARYA**

SRI SANKARA GURUKRIPA ILLAM

Regd OFF. : No. 6A, 10th Street,
New Colony, Adambakkam,
Chennai - 600 088

E-mail : gkrkgram@yahoo.in
Ph: 044 - 4355 9396

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019.

We have examined the data electronically shared by **Cameo Corporate Services Limited**, Registrar and Share Transfer Agents (RTA) of **SICAGEN INDIA LIMITED** (the Company), for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

- A) The Company has issued share certificates for application received during the period 01st October, 2020 to 31st March, 2021 within 30 days of lodgement for transfer of securities, transmission of securities, duplicate share certificates except those rejected on technical grounds.
- B) There were instances of transfers in physical form, but it was in pursuance with the circular SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 let out by SEBI dated September 7, 2020.
- C) Any other information, if applicable: NIL

For KRA & Associates



R. KANNAN
PRACTICING COMPANY SECRETARY
C.P.NO.3363
UDIN: F006718C000126024



Date: 19-04-2021

Place: Chennai