



**Ref: SSFL/Stock Exchange/2021-22/007**

**Date: April 13, 2022**

**To  
BSE Limited,  
Department of Corporate Services  
P. J. Towers, 25<sup>th</sup> Floor,  
Dalal Street,  
Mumbai - 400001**

**To  
National Stock Exchange of India Limited,  
Listing Department  
Exchange Plaza, C-1, Block G  
BandraKurla Complex, Bandra (E)  
Mumbai - 400051**

**Scrip Code: 542759**

**Symbol: SPANDANA**

Dear Sir/Madam,

**Sub: Certificate pursuant to Regulation 40(10) read with 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.**

Pursuant to Regulation 40(10) read with 61(4) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI LODR"), please find enclosed herewith the certificate from M/s RPR & Associates, Practicing Company Secretaries, certifying compliance under Regulation 40(9) read with Regulation 61(4) of SEBI LODR for the financial year ended March 31, 2022.

We request you to kindly take on record the aforesaid information.

Thank you.

Yours Sincerely,

**For Spandana Sphoorty Financial Limited**

**Ramesh Periasamy  
Company Secretary and Compliance Officer**

*Encl. As Above*



**CERTIFICATE UNDER REGULATION 40(9) READ WITH REGULATION 61(4) OF  
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED MARCH 31, 2022**

We have examined all Securities Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **Spandana Sphoorty Financial Limited** (“the Company”), having its Registered Office at Plot No: 31 & 32, Ramky Selenium Towers, Tower A, Ground Floor, Financial Dist, Nanakramguda, Hyderabad – 500032, Telangana, being maintained by KFin Technologies Limited, Hyderabad, the Registrars and Share Transfer Agents (RTA) of the Company pertaining to transfer or transmission or transposition of Equity Shares and Non-Convertible Debentures (NCDs) issued by the Company for the period from April 01, 2021 to March 31, 2022 for the purpose of issuing this Certificate as per Regulation 40(9) read with Regulation 61(4) read with Schedule VII of the SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that there were no requests for transfer, transmission, transposition, sub-division, split, consolidation, renewal and exchange of certificates during the year from April 01, 2021 to March 31, 2022.

**Place: Hyderabad**  
**Date: April 12, 2022**

**For RPR & Associates  
Company Secretaries**

RAVI PRASADA  
REDDY YEDDULA

Digitally signed by RAVI  
PRASADA REDDY YEDDULA  
Date: 2022.04.12 14:38:46  
+05'30'

**Y. Ravi Prasada Reddy**  
**Proprietor,**  
**FCS No. 5783, CP No. 5360**

**UDIN: F005783D000081551**