

# THE FIRST CUSTODIAN FUND (INDIA) LTD.



CORPORATE OFFICE : SURYA MAHAL 3RD FLOOR, NAGINDAS MASTER ROAD, FORT, MUMBAI-400 023. INDIA  
PHONE : 6635 9001 • 6635 9002 • FAX: +9122-2270 3539 • CIN : L67120WB1985PLC038900 Date: 9<sup>th</sup> February, 2021

To,  
The Department of Corporate Services,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai – 400 001

Scrip Code: 511122

**SUB: NOTING AND RESPONSE BY THE BOARD IN RESPECT OF NOTICE  
DATED 7<sup>TH</sup> DECEMBER, 2020 AND 28<sup>TH</sup> DECEMBER, 2020 RECEIVED FROM  
BSE LTD. FOR NON-APPOINTMENT OF COMPANY SECRETARY AS  
COMPLIANCE OFFICER**

Dear Sir / Madam,

The Board Meeting of the Company was held on 9<sup>th</sup> February, 2020. The Chairman of the Meeting has placed before the Board the Notice through email dated 7<sup>th</sup> December, 2020 received from BSE Ltd. imposing fine of Rs. 1,08,560/- (inclusive of GST) for non-appointment of Company Secretary as Compliance Officer of the Company during the quarter ended July to September, 2020 as per Reg. 6(1) of the SEBI (LODR) Regulations, 2015.

Since the reply to the aforesaid could not be filed on account of COVID 19, hence the Company had received another notice from BSE Limited through email dated 28<sup>th</sup> December, 2020 for freezing the shares of the promoters of the Company. The Chairman placed the same before the Board along with the reply filed on 30<sup>th</sup> December, 2020.

The Board discussed, noted and provided the following response:

*“On account of COVID-19, we could not reply to your above subject notice. However, we have considered this matter in our Board Meeting held on 11<sup>th</sup> November, 2020 and filed the outcome of discussion with BSE Ltd. on 12<sup>th</sup> November, 2020.  
In forthcoming Board Meeting, we will again consider this issue and look forward to appoint Company Secretary.”*

The Chairman also informed the Board that the Company has appointed Mrs. Sudha Jodhani as Company Secretary and Compliance Officer of the Company w.e.f. 18<sup>th</sup> January, 2021.

Please take the aforesaid on your records and acknowledge the receipt.

Thanking You,  
Yours Faithfully,

For **THE FIRST CUSTODIAN FUND (INDIA) LIMITED**

  
**GIRIRAJ DAMMANI  
MANAGING DIRECTOR**