

SEC/FILING/BSE-NSE/2023-24/68A-B

Date: 27/10/2023

To,

National Stock Exchange of India Limited

Exchange Plaza,5th Floor,
Plot No. C/1,G Block,
Bandra- Kurla Complex,
Bandra (East), Mumbai - 400 051
Scrip Code : SHRIRAMFIN

BSE Limited

P. J. Towers,
Dalal Street,
Fort, Mumbai - 400 001
Scrip Code : 511218

Dear Sirs,

Sub: Compliance under Regulation 39 (3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We have received the letter from the following shareholder informing about loss of physical shares certificates. A photo copy of the document alongwith proof of the date of receipt is enclosed herewith.

As per the document received from shareholder and from our records we notice that shareholder are holding the shares as per the details given below:-

Folio Nos.	Name of the Shareholder	Cert. Nos.	Distinctive Nos. (Both inclusive)		No. of Shares held	Received on
			From	To		
15506	Karihalan N & Ms.Prema K	140583	6332821	6332870	50	26/10/2023
		193067	8214273	8214322	50	
		193068	8214323	8214372	50	
		193069	8214373	8214422	50	
		305862	13194255	13194304	50	
		305863	13194305	13194314	10	
		362429	17938180	17938229	50	
		362430	17938230	17938279	50	
		362431	17938280	17938299	20	
		45622	1996366	1996415	50	
		464733	27006920	27006969	50	
		464734	27006970	27007019	50	
		464735	27007020	27007039	20	
85622	3721366	3721415	50			
SCF13678	Nageshwaran R S	9020-9025	961301	961900	600	26/10/2023

Shriram Finance Limited

(Formerly known as Shriram Transport Finance Company Limited)

Corporate Office : Wockhardt Towers, Level - III, West Wing, C-2, G-Block, Bandra - Kurla Complex, Bandra (E), Mumbai - 400 051, Maharashtra. Ph: +91 22 4095 9595

Registered Office: Sri Towers, Plot No.14A, South Phase, Industrial Estate, Guindy, Chennai - 600 032. Tamil Nadu, India. Ph: +91 44 4852 4666

Website : www.shriramfinance.in | Corporate Identity Number (CIN) - L65191TN1979PLC007874

Please note that Duplicate share certificates shall be issued only on receipt of complete documents from the shareholder(s) to the satisfaction of the RTA and the Company including completion of procedural requirements in this regard.

Thanking you,
Yours faithfully,
for Shriram Finance Limited

U Balasundararao
Company Secretary and Compliance Officer
Encl : A/a

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Form ISR-4

No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: 11-07-2023

A. Mandatory Documents / details required for processing all service request:

I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick ✓ as relevant, refer to the instructions):

- Demat Account No. (If available):

I	N	3	0	0	2	3	9
1	6	8	6	2	7	8	5

Provide Client Master List (CML) of your Demat Account from the Depository Participant*

- Provide the following details, if they are not already available with the RTA (see SEBI circular dated November 03, 2021 in this regard)

PAN <input type="checkbox"/>	Specimen Signature <input type="checkbox"/>
Nomination / Declaration to Opt-out <input type="checkbox"/>	

* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in SEBI circular dated November 03, 2021.

B. I / We request you for the following (tick ✓ relevant box)

<input checked="" type="checkbox"/> Issue of Duplicate certificate	<input type="checkbox"/> Claim from Unclaimed Suspense Account
<input type="checkbox"/> Replacement / Renewal / Exchange of securities certificate	<input type="checkbox"/> Endorsement
<input type="checkbox"/> Sub-division / Splitting of securities Certificate	<input type="checkbox"/> Consolidation of Folios
<input type="checkbox"/> Consolidation of Securities certificate	<input type="checkbox"/> Transmission
<input type="checkbox"/> Transposition (Mention the new order of holders here)	

C. I / We are enclosing certificate(s) as detailed below**:

Name of the Issuer Company	SARIBAM FINANCE LIMITED
Folio Number	15506
Name(s) of the security holder(s) as per the certificate(s)	1. KARIBALAN N 2. MS. PREMA K. 3.
Certificate numbers	-
Distinctive numbers	-
Number & Face value of securities	600 share

** Wherever applicable / whichever details are available

D. Document / details required for specific service request:

- I. Duplicate securities certificate
- II. Claim from Unclaimed Suspense Account

Securities claimed	(in numbers) (in words)

- III. Replacement / Renewal / Exchange of securities certificate (that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV. Endorsement

Sub-division / Splitting of securities certificate


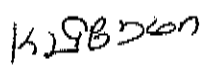
Consolidation of securities certificate/Folios

Transmission

iii. Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

Declaration: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	Security Holder 2	Security Holder 3
Signature			
Name	KARIMALANI N	PREMA K.	
Full address	1/2, 9 th STREET ANDHARAPATTI ROAD PRAVATHIPURAM MUSIRI - 621 211	1/2, 9 th STREET ANDHARAPATTI ROAD PRAVATHIPURAM MUSIRI.	
PIN	6 2 1 2 1 1	6 2 1 2 1 1	□ □ □ □ □ □

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.

INTEGRATED REGISTRY MAIL & LOGISTICS SERVICES PVT. LTD.
CHENNAI - 600 017.
RECEIVED ON

ORD Post	26 OCT 2023			Others
Regd.	Speed	H.D	Courier	Company

REQUEST LETTER

From

Date: 25 / 10 / 2023

R. S. Nagkshvaran.

9/4th Block, 2nd Floor,

seetharam APT, Baswaram Koil St,

Town Hall, Fort, Coimbatore-1

Mobile. No. 9787047337.

Email Id.: rsnagesh@gmail.com.

To

Integrated Registry Management Services Private Limited,

"Kences Towers", 2nd Floor, No.1 Ramakrishna Street, T Nagar, Chennai – 600017

Unit: Shriram Finance Ltd. - Equity Shares.

Ref : Folio No / Dpid Clid: SCF 13678

Nature of Request:

- 1) Non-receipt of Dividend / Interest / Redemption Amount
- 2) Revalidation of Dividend / Interest / Redemption Amount
- 3) Procedure for Issue of Duplicate Share Certificate
- 4) Procedure for Transmission
- 5) Procedure for Correction / Change in Name
- 6) Form ISR-1 (7) Form ISR-2 (8) Form SH-13 (9) Form ISR-3
- 10) Non-receipt of Annual Report
- 11) IEPF -5
- 12) Others....(pl specify)

Request you to update your records / reply to me at the earliest.

Thanking you

Yours truly

R. S. Nagkshvaran.

Demat A/c No: IN303028-83809635.

(Shareholder / Claimant)

- Encl: Dividend / Interest / Redemption Warrant No. _____
- LOU for Dividend / Interest / Redemption
- Share Certificate No(s). _____
- Form ISR-1 Form ISR-2 Form SH-13 Form ISR-3
- Death Certificate Legal heir-ship Certificate Succession Certificate / Will
- Cancelled Cheque leaf
- Others....(pl specify)

Documents received, subject to verification
for Integrated Registry Management Services Private Limited

INTEGRATED REGISTRY MANAGEMENT SERVICES PVT. LTD.
CHENNAI-600 017.
RECEIVED ON

ORD Post	26 OCT 2023			Others
Regd.	Speed	H.D	Courier	Company