



Date: 18<sup>th</sup> April, 2022

To

|  |  |
|--|--|
| Manager<br>Listing Department<br><b>BSE Limited</b><br>Phiroze Jeejeebhoy Towers, Dalal Street,<br>Mumbai- 400001<br>Scrip Code : 533344 | Manager<br>Listing Department<br><b>National Stock Exchange of India Limited</b><br>Exchange Plaza, C-1, Block G,<br>Bandra- Kurla Complex, Bandra (East),<br>Mumbai- 51<br>Scrip Code : PFS |
|--|--|

**Sir/ Madam,**

**Subject: Compliances certificate as per Regulation 40(9)/(10) and Regulation 61(4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations 2015**

We attach herewith a compliance certificate as per regulation 40(9)/(10) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), Regulations 2015 for the year ended 31<sup>st</sup> March, 2022 of the above mentioned regulation.

We request you to take the documents on record.

Thanking You,

**For PTC India Financial Services Limited**

Sd/-  
(Authorized Signatory)

Enclosed : as above

**PTC India Financial Services Ltd. (CIN: L65999DL2006PLC153373)**

(A subsidiary of PTC India Limited)

**Registered Office:** 7th Floor, Telephone Exchange Building, 8 Bhikaji Cama Place, New Delhi - 110 066, India

Board: +91 11 26737300 / 26737400 Fax: 26737373 / 26737374, Website: www.ptcfinancial.com, E-mail: info@ptcfinancial.com



**Certificate under Regulation 40(9) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, during the period 01.04.2021 to 31.03.2022**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **PTC INDIA FINANCIAL SERVICES LIMITED** having its Registered Office at 7th Floor, Telephone Exchange Building, 8, Bhikaji Kama Place, New Delhi -110066 as maintained by KFin Technologies Limited {formerly known as Kfin Technologies Private Limited}, Registrar and Share Transfer Agent of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares and non- convertible debentures of the Company for the period ended on **31<sup>st</sup> March, 2022** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the period 01.04.2021 to 31.03.2022:

- A) No request was received for physical transfer during the period from 01<sup>st</sup> day of April, 2021 to 31<sup>st</sup> day of March, 2022.
- B) Certificates in respect of request(s) for issue of Duplicate /Split / Consolidation and Sub-division etc., as applicable, during the period from 01<sup>st</sup> day of April, 2021 to 31<sup>st</sup> day of March, 2022 have been issued within stipulated time as prescribed under Listing Regulations.

**FOR AGARWAL S. & ASSOCIATES**  
Company Secretaries  
ICSI Unique Code: P2003DE049100

**AJAY KUMAR  
CHOUHARY**

**CS Ajay Kumar Choudhary**  
Partner  
ACS 51674  
CP 21297

Place: New Delhi  
Date: 12.04.2022  
UDIN: A051674D000086786