



DCM SHRIRAM

BSE Limited Phiroze JeeJeeBhoy Towers, Dalal Street, <u>Mumbai – 400 001</u>	National Stock Exchange of India Ltd., “Exchange Plaza”, 5 th Floor, Plot No. C-1, G Block, Bandra-Kurla Complex, Bandra (E) <u>Mumbai: 400 051</u>
SCRIP CODE: 523367	SCRIP CODE: DCM SHRIRAM

Kind Attn. : Department of Corporate Communications/ Head Listing Department

Sub : Certificate Under Regulation 40(9)

Dear Sirs,

Pursuant to the SEBI (Listing Obligations and Disclosure Requirement) Regulation, 2015, we enclose herewith a copy of the certificate dated 6.10.2020 for the half year ended 30th September, 2020 from a Practising Company Secretary.

Please arrange to take the above information on your records.

Yours faithfully,

(Sameet Gambhir)
Company Secretary

Dated : 6.10.2020

Encl: As above

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmshriram.com website: www.dcmshriram.com
CIN No. L74899DL1989PLC034923

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (“LISTING REGULATIONS”)

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

I have examined all the relevant documents and records relating to **DCM Shriram Limited** (the “Company”), having registered office at 2nd Floor (West Wing), Worldmark -1, Aerocity, New Delhi -110037, maintained by MCS Share Transfer Agent Limited pertaining to transfer of equity shares of the Company for the period from **1st April 2020 to 30th September 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”) and based on the information and explanation provided by the Company, I hereby certify, for the half year ended on **30th September, 2020**, that:

- A)** there was no request for transfer of shares received during the period from **1st April 2020 to 30th September 2020** and the share certificate(s) relating to transmission of shares have been issued within the period stipulated in the Listing regulations from the date of lodgment as mentioned in the Annexure; and
- B)** there was no request for Share certificate relating to sub-division, consolidation, renewal, exchange received during the period from **1st April 2020 to 30th September 2020** and the share certificate(s) relating to duplicate share certificate have been issued within the period stipulated in the Listing regulations from the date of lodgment.

For Mukta Kheterpal & Co
Company Secretaries

mukta kheterpal
kheterpal

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mukta kheterpal
Date: 2020.10.06
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(Mukta Kheterpal)

Proprietor

C.P No-15699

UDIN : A020500B000859536

Date : 6.10.2020

Place : Delhi

DCM Shriram Limited

Details of transmission cases of securities during the period 1/4/2020 – 30/9/2020

Type of Securities	Number of transmission cases received	Number of Complete transmission cases	Number of transmission cases approved	Number of transmission cases pending
Equity Shares	4 Cases having 8250 Equity shares	4 Cases having 8250 Equity shares	4 Cases having 8250 Equity shares	NIL

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Date: 2020.10.06
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