

# DB (International) Stock Brokers Ltd.

- NSE&BSE : CAPITAL MARKET, F&O & CURRENCY SEGMENTS
  - NSE, BSE, MCX, ICEX: COMMODITIES
  - DEPOSITORY PARTICIPANT: CDSL, IPO, MUTUAL FUND & BONDS
- Corp. Office: 402, New Delhi House, 27, Barakhamba Road, New Delhi -110001  
Tel.:011-43606162, Website: [www.dbonline.in](http://www.dbonline.in), E-Mail: [compliance@dbonline.in](mailto:compliance@dbonline.in)  
CIN: L67120HR1992PLC035349

04.12.2020

To, Listing Department National Stock Exchange of India Ltd. Exchange Plaza, C/1, Block G, Bandra-Kurla Complex Bandra (E) Mumbai - 400 051  NSE Symbol: DBSTOCKBRO	To, Department of Corporate Services BSE Limited Phiroze Jeejeebhoy Towers 28th Floor, Dalal Street, Mumbai-400001  Company Code: 530393
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**Sub: Disclosures pursuant to Regulation 29(1) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and disclosures (pursuant to Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 1992**

Dear Sir,

We DB (International) Stock Brokers Limited would like to inform the exchange that M/s New KMS Finance Private Limited has sold substantial number of equity shares of DB (International) Stock Brokers Limited on 02nd December, 2020 (4,47,926 shares in NSE) and 67,000 shares in NSE and 6,08,200 shares in BSE on 03rd December, 2020 which results in reaching our shareholding to 13.50% from 16.70%..

Thereby the disclosures pursuant to Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 and Regulation 7(2) of SEBI (Prohibition of Insiders Trading) Regulations, 1992 is required.

The above mentioned disclosures made by New KMS Finance Private Limited are attached herewith.

You are requested to kindly consider the same in your records.

Thanking You

**For DB (International) Stock Brokers Limited**

For D. B. (International) Stock Brokers Ltd.

  
Shiv Narayan Daga

Managing Director

Managing Director

Encl: As above

# NEW KMS FINANCE PRIVATE LIMITED

Reg. Office: 102, B-2, South Block Bahu Plaza, Jammu -180012  
E Mail:- newkmsltd@gmail.com, CIN: U67120JK1988PTC001088

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To,  
DB (International) Stock Brokers Limited  
402, New Delhi House,  
27 Barakhamba Road,  
New Delhi-110001

Sub: Intimation of Acquisition/Sale of shares

Dear Sir,

We New KMS Finance Private Limited have sold 4,47,926 (in NSE) on 02<sup>nd</sup> December, 2020 and 67,000 (in NSE) and 6,08,200 (in BSE) on 03<sup>rd</sup> December, 2020 which results in reaching our shareholding to 13.50% from 16.70% in DB (International) Stock brokers Limited.

Thereby following disclosures become applicable on us which are as follows:

1. As per Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
2. As per Regulation 7(2) of SEBI (Prohibition of Insiders Trading) Regulations, 1992.

The above mentioned disclosures are enclosed herewith.

You are requested to kindly consider the same in your records.

Thanking You,

**For New KMS Finance Private Limited**

For NEW KMS FINANCE PRIVATE LIMITED

Authorised Signatory  
Encl: A/A Director/Authorised Signatory

**Format for disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

**Part A: Details of the Acquisition**

Name of the Target Company (TC)	DB (International) Stock Brokers Limited		
Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	New KMS Finance Private Limited		
Whether the acquirer belongs to Promoter/Promoter group	No		
Name(s) of the Stock Exchange(s) where the shares of TC are Listed	NSE & BSE		
Details of the acquisition / disposal as follows	Number	% w.r.t. total share/voting capital wherever applicable(*)	% w.r.t. total diluted share/voting capital of the TC (**)
	<b>Before the acquisition under consideration, holding of :</b>		
a) Shares carrying voting rights	5846544	16.70	16.70
b) Shares in the nature of encumbrance (pledge/ lien/ non-disposal undertaking/ others)			
c) Voting rights (VR) otherwise than by shares			
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)			
e) Total (a+b+c+d)	5846544	16.70	16.70
<b>Details of acquisition/sale: Sale</b>			
	1123126	3.21	3.21
a) Shares carrying voting rights acquired/sold			
b) VRs acquired /sold otherwise than by shares			
c) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold			
d) Shares encumbered / invoked/released by the acquirer			
e) Total (a+b+c+/-d)	1123126	3.21	3.21

for NEW KMS FINANCE PRIVATE LIMITED

  
Director/Authorised Signatory

<b>After the acquisition/sale, holding of:</b>	4723418	13.50	13.50
a) Shares carrying voting rights			
b) Shares encumbered with the acquirer			
c) VRs otherwise than by shares			
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition			
e) Total (a+b+c+d)	4723418	13.50	13.50
Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).	Open Market		
Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	02.12.2020 and 03.12.2020		
Equity share capital / total voting capital of the TC before the said acquisition / sale	3,50,00,000		
Equity share capital/ total voting capital of the TC after the said acquisition / sale	3,50,00,000		
Total diluted share/voting capital of the TC after the said acquisition	3,50,00,000		

(\*) Total share capital/ voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement.

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

For NEW KM3 FINANCE PRIVATE LIMITED  
  
 Director/Authorised Signatory