

March 13, 2024

National Stock Exchange of India Limited
Listing Department
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai - 400 051

BSE Limited
Listing Department
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir/Madam,

Sub: Disclosure under Regulation 30 read with Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Ref: NSE Symbol - ISEC and BSE Scrip Code - 541179

This is to inform you that the Securities and Exchange Board of India ('SEBI') has issued an administrative warning to ICICI Securities Limited ('the Company') vide their letter dated March 12, 2024 which was received on the same day at 4:41 p.m. through e-mail.

The warning has been issued in connection with the inspection carried out for the Stock Broking activities of the Company.

There is no impact on financial, operation or other activities of the Company pursuant to the above-mentioned administrative warning letter.

The relevant details pertaining to the order are provided in **Annexure**.

This is for your information and records.

Thanking you,

Yours faithfully,
For ICICI Securities Limited

Rupesh Jadhav
Authorised Signatory

Member of National Stock Exchange of India Ltd, BSE Ltd and Multi Commodity Exchange of India Ltd.
SEBI Registration: INZ000183631
CIN No.: L67120MH1995PLC086241

ICICI Securities Limited
Registered Office:
ICICI Venture House
Appasaheb Marathe Marg,
Prabhadevi, Mumbai - 400025, India
Tel. (91 22) 6807 7100
Fax (91 22) 6807 7803

Corporate Office :
Shree Sawan Knowledge Park, Plot No. D-507,
T.T.C. Ind. Area, M.I.D.C, Turbhe, Navi Mumbai - 400 705
Tel.: (91 22) 6807 7100
Fax: (91 22) 6807 7801

Name of Compliance Officer (Broking Operations) : Ms. Mamta Shetty
Email Address: complianceofficer@icicisecurities.com / Tel. (91 22) 4070 1000
Website Address: www.icicisecurities.com / www.icicidirect.com



ICICI Securities Limited

Name of Authority - Securities & Board Exchange of India

Date of Receipt of Letter - March 12, 2024

Annexure

Sr. No.	Details of the violation(s)/contravention(s) committed or alleged to be committed	Nature and details of the action(s) taken, initiated or order(s) passed	Impact on financial, operation or other activities of the Company, quantifiable in monetary terms to the extent possible	
			Penalty (Rs.)	Total (Rs.)
1	Non-adherence to internal policy.	The authority has warned the Company to be careful and improve compliance standards to avoid recurrence.	-	-
Total Monetary Impact			-	-