

May 15, 2020

To,  
Corporate Relations  
BSE Limited  
Phiroze Jeejeebhoy Towers, Dalal  
Street,  
Mumbai-4000 01  
(Security Code: 534615)

The Manager (Listing Department)  
National Stock Exchange of India  
Limited  
Exchange Plaza, C-1, Block G, Bandra  
Kurla Complex, Bandra (E)  
Mumbai — 400 051  
(Symbol: NECCLTD)

**SUB: CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON MARCH 31, 2020**

Dear Sir(s),

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance certificate for half year ended on March 31,, 2020.

Please take the same on your records.

Thanking you,

Yours faithfully,  
**For North Eastern Carrying Corporation Limited**

Sd/-  
(Mamta Bisht)  
Company Secretary & Compliance Officer



# A. K. FRIENDS & CO.

Company Secretaries

E-38, 2nd Floor, Behind Hira Sweets, Laxmi Nagar  
Delhi-110092, INDIA, Tel.: (O) 9266000759  
Mob. : +91-92120 00759, 99110 00759  
E-mail : akfriends38@yahoo.co.in

## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON MARCH 31, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s North Eastern Carrying Corporation Limited** (CIN: L51909DL1984PLC019485) maintained by **M/s MAS Services Limited** (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from October 01, 2019 to March 31, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on March 31, 2020:

(A) Share Certificate(s) relating to the transfer of Shares received during the period from October 01, 2019 to March 31, 2020 as entered in the Memorandum of Transfers have been issued within 30 (thirty) days of the date of lodgement for transfer excepting those rejected on technical grounds.

(B) Share Certificate(s) in respect of requests relating to issue of duplicate shares, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment money(ies) have been issued within 30 (thirty) days from the date of lodgement of each request.



For A. K. Friends & Co.

**Ashish Kumar Friends**

Practicing Company Secretary

CP No.: 4056; Memb. No.: FCS 5129

Date: 06/05/2020

Place: Delhi

UDIN : F005129B000207760