

LATL:CS:REG7:2020-21

Date: 16.10.2020

BSE Limited
Listing Compliance Department
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai - 400 001

The National Stock Exchange of India Limited
Listing Compliance Department
Exchange Plaza, C-1 Block G,
Bandra Kurla Complex,
Bandra (E), Mumbai – 400051

Company Code : 532796**Company Code : LUMAXTECH**

Subject: Certificate under Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Ma'am,

In terms of Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Compliance Certificate received from our Registrar and Transfer Agent i.e. Bigshare Services Private Limited digitally signed by their Authorised Signatory for the half year ended on September 30, 2020.

You are requested to kindly take the same in your records.

Thanking you,

Yours faithfully,
For **LUMAX AUTO TECHNOLOGIES LIMITED**



ANIL TYAGI
COMPANY SECRETARY
M.NO. A-16825



Encl.: as stated above

Dated: October 16, 2020

COMPLIANCE CERTIFICATE

[Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

THIS IS TO CERTIFY that, we, **Bigshare Services Private Limited**, having our Registered Office at Bharat Tin Works Building, 1st Floor Opp. Vasant Oasis, Makwana Road Marol, Andheri – East, Mumbai – 400059 are providing Registry and Share Transfer services to **LUMAX AUTO TECHNOLOGIES LIMITED** (“the Company”) for the half year ended September 30, 2020 and having the valid agreement:

FURTHER CERTIFY, that we:

- a. Hold and continues to hold requisite certificate as Category - I Registrar & Share Transfer Agent vide SEBI Registration No. INR000001385 issued by Securities Exchange Board of India (SEBI).
- b. Are handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical respect of the Company as per the regulations.
- c. Are maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

Further, we wish to state that the Company is adopting “April to March” as its Financial year and accordingly, we are submitting the compliance certificate for half of the financial year ended September 30, 2020 i.e. for the period from April 1, 2020 to September 30, 2020, pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015.

For Bigshare Services Private Limited

SUBRAMANI
AN ARUNRAJ

Digital signed by SUBRAMANI ARUNRAJ
DN: cn=SUBRAMANI ARUNRAJ, o=Bigshare Services Private Limited, email=subramani.arunraj@bigshare.com, c=IN

S. Arunraj
Authorised Signatory

For Lumax Auto Technologies Limited



Anil Tyagi
Company Secretary
M.NO. A-16825

