



SHARIKA ENTERPRISES LIMITED

An ISO 9001: 2015 certified Company

CIN No.: L51311DL1998PLC093690

B -124, Sector-67, Noida 201 301, India

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To
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalai Street,
Fort Mumbai - 400001

Date: May 27, 2022

BSE Scrip Code - 540786

Dear Sir / Madam,

Sub.: Submission of Annual Secretarial Compliance Report for the year ended March 31, 2022

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, we are submitting herewith the Annual Secretarial Compliance Report of the Company for the year ended March 31, 2022, issued by M/s Kundan Agrawal & Associates, Practicing Company Secretaries.

Kindly take the same on your records.

Thanking you,

For and on behalf of

SHARIKA ENTERPRISES LIMITED



Rajinder Kaul
Managing Director
DIN: 01609805



Secretarial Compliance Report of Sharika Enterprises Limited
for the year ended 31st March, 2022

We, Kundan Agrawal & Associates, Company Secretaries, having FRN: S2009DE113700 and office at E-21, Office No.301, Jawahar Park, Laxmi Nagar New Delhi-110092(India) and have examined:

- (a) all the documents and records made available to us and explanation provided by **“SHARIKA ENTERPRISES LIMITED”**(“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the Stock Exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March, 2022** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India(“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable during the period under review).**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(To the extent applicable on the company for the period under review).**
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 **(Not applicable during the period under review).**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(Not applicable during the period under review).**





- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(Not applicable during the period under review).**
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 **(Not applicable during the period under review).**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; **(To the extent applicable on the company for the period under review).**
- and circulars/ guidelines issued thereunder

And based on the above examination, we hereby report that, during the review period

- (a) The entity is listed at Bombay Stock Exchange Limited (BSE).
- (b) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 33 – Submission of Financial statements with stock exchange	Late submission	The Company has defaulted in Submission of Financial statements for quarter ended September 2021 with stock exchange and, therefore, BSE had imposed a penalty of Rs. 17,700 which the company duly paid.
2.	-	-	The Company has defaulted in sending the notice of meetings to the directors, the company has not maintained the attendance sheet of meetings, and has not provided the dispatch proof of notice of meetings.

- (c) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from our examination of those records.
- (d) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:





Kundan Agrawal & Associates

Company Secretaries

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E-mail: agrawal.kundan@gmail.com

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1.	BSE	Regulation 33 – Submission of Financial statements with stock exchange		The Company has defaulted in Submission of Financial statements for quarter ended September 2021 with stock exchange and, therefore, BSE had imposed a penalty of Rs. 17,700 which the company duly paid.

(e) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.				

For Kundan Agrawal & Associates
Company Secretaries

Place: Delhi
Date: 27/05/2022


Kundan Agrawal
Company Secretary
Membership No. 7631
C.P. No. 8325
UDIN:F007631D000408658