



## HERCULES HOISTS LIMITED

16.04.2019

To,

The Senior General Manager  
Department of Corporate Services  
BSE Limited  
Floor 1, P J tower  
Dalal Street, Mumbai- 400001

The Vice President  
National Stock Exchange Limited  
"Exchange Plaza" C-I, Block G  
Bandra Kurla Complex , Bandra ( E ) Mumbai  
400051

Dear Sir/Madam

**Sub: Compliance Certificate in terms of Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the period from 01.10.2018 to 31.03.2019**

Pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015, we submit the captioned certificate issued by Ms. Alpana Pobi, of S.N. Ananthasubramanian & Co., Practicing Company Secretary, Mumbai on 10.04.2019 from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019.

Thanking you

For Hercules Hoists Limited

Mahesh Kumar Sharma  
Company Secretary & Compliance Officer



Encl. : As above

**Corporate Office & Works**

43/2B, Savroli-Kharpada Road T: +91 2192 274601  
Dhamani, Khalapur 410202 F: +91 2192 274125  
Maharashtra E: indef@indef.com  
INDIA U: www.indef.com

**Registered Office**

Bajaj Bhawan, 2<sup>nd</sup> Floor  
226, Jamnalal Bajaj Marg  
Mumbai 400 021, INDIA  
CIN: L45400MH1962PLC012385

**S. N. ANANTHASUBRAMANIAN & CO.**

**COMPANY SECRETARIES**

FIRM REGISTRATION NO. P1991MH040400

10/26, BRINDABAN, THANE – 400601 | Tel 25345648/25432704 | Email [snaco@snaco.net](mailto:snaco@snaco.net) | Website [www.snaco.net](http://www.snaco.net)

**CERTIFICATE UNDER REGULATION 40 (9) OF**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2019**

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Hercules Hoists Limited** ( hereinafter referred to as the Company) bearing **CIN: L45400MH1962PLC012385** (ISIN INE688E01024) and having its registered office at Bajaj Bhawan, 2<sup>nd</sup> Floor, 226, Jamnalal Bajaj Marg, Nariman Point, Mumbai – 400021 and maintained by Universal Capital Securities Private Limited, the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1<sup>st</sup> October 2018 to 31<sup>st</sup> March, 2019** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

**No request for transfer/ sub-division/ consolidation/ renewal of shares was received by the Company during the period under review.**

**For S. N. ANANTHASUBRAMANIAN & CO**  
**Company Secretaries**

  
**Alpina Pobi**  
**Associate**



**ACS : 29905**

**CP. NO. : 16131**

**10<sup>th</sup> April, 2019**

**Thane**