

# SAPTAK CHEM AND BUSINESS LIMITED

Regd. Office: 201 Chiranjiv Complex Mahalaxmi Five Road, Paldi, Ahmedabad-380007

Contact No.: + 917778878401 Website: [www.saptakchem.com](http://www.saptakchem.com)

Email id: [munakchem1980@gmail.com](mailto:munakchem1980@gmail.com) CIN: L24299GJ1980PLC101976

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30<sup>th</sup> April, 2024

To,  
BSE Limited  
Ground Floor, P.J. Tower  
Dalal Street  
Mumbai- 400001

Scrip Code: 506906

**Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024.**

Dear Sir/Madam,

In pursuant to regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find the enclosed herewith Certificate under regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the financial year ended on March 31, 2024 issued by Mrs. Rupal Patel, Practicing Company Secretary, Ahmedabad, certifying that all the Certificates have been issued within 30 days of the lodgement for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, as applicable.

Please take the same on your record and oblige.

Thanking you,

Yours faithfully,

**For Saptak Chem and Business Limited**



**Rohitkumar Parikh**  
**Managing Director**  
**DIN: 07394964**

*Encl.: As above*



**CERTIFICATE**

*[Pursuant to Regulation 40(9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the year ended March 31, 2024]*

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 8, 2018 and vide its press release dated December 3, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. April 1, 2019. Further, SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 1, 2019. Further, SEBI vide its circular dated September 7, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 2, 2020.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Saptak Chem and Business Limited** (the company) maintained by **MCS Share Transfer Agent Ltd.**, the Registrar and Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the company for the period beginning from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on our examination as well as the information and explanation furnished to us, which to the best of our knowledge and belief were necessary for the purpose of our certification, **we hereby certify that:**

- a) has not issued any share certificates since no request is received for physical transfer of shares during the period 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024.
- b) has issued all share certificates in respect of request received for subdivision / consolidation and renewal /duplicate during the period 1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024, within thirty days from respective date of lodgment of each request excepting those rejected on technical grounds.

**Date: 30/04/2024**  
**Place: Ahmedabad**

**Signature** \_\_\_\_\_  
**RUPAL PATEL**  
**Company Secretary**  
**In Whole Time Practice**  
**CP No. 3803**  
**UDIN: F006275F000272981**