



Date: 23.04. 2019

The Head- Listing Compliance  
**BSE Limited,**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Fort Mumbai- 400001

The Head- Listing Compliance  
**National Stock Exchange of  
India Ltd.**  
Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Mumbai - 400 051

The Head- Listing  
Compliance  
**The Calcutta Stock  
Exchange Ltd.**  
7, Lyons Range,  
Murgighata, BBD Bagh,  
Kolkata, West Bengal-  
700001

**Security Code: 511611**

**Stock Code: DCMFINSERV**

**Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015**

Dear Sir,

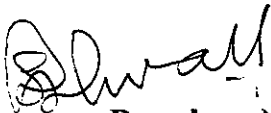
Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **31<sup>st</sup> March, 2019** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information for your records.

Thanking you,

Yours Faithfully,

**For DCM Financial Services Limited**

  
**(Shantanu Deveshwar)**  
**Whole Time Director**  
**DIN: 08268523**

**Place: New Delhi**

Encl: as stated

**DCM FINANCIAL SERVICES LIMITED**

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: [info@dfsionline.com](mailto:info@dfsionline.com)

Website: [www.dfsionline.com](http://www.dfsionline.com)



**JAIN P & ASSOCIATES**

**Company Secretaries**

**Add: C-273, II Floor, Sector-63, Noida-201301, Uttar Pradesh**

**E-Mail: jainpandassociates@gmail.com, Ph: +91-9599473294**

**CERTIFICATE UNDER REGULATION 40(9) OF THE**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2019**

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **DCM Financial Services Limited** maintained by **MCS Share Transfer Agent Limited** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by **DCM Financial Services Limited** with exchanges and based on the information provided by the company, I hereby certify that the Company has delivered during half year ended **31<sup>st</sup> March, 2019**.

Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019** as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Jain P & Associates,  
Company Secretaries**

PREETI  
MITTAL

Digitally signed by  
PREETI MITTAL  
Date: 2019.04.22  
15:11:32 +05'30'

**Preeti Jain**

**Memb. No. 41759**

**C.O.P. No. 17079**

**Date: 22.04.2019**

**Place: Noida**