

# Jus & Associates

Company Secretaries

April 05, 2022

**Max Financial Services Limited**  
L20M, Max Towers, Plot No. C-001/A/1,  
Sector 16B,  
Noida 201301

**Sub: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

We have examined all the relevant papers, documents, registers, forms and other records produced before us relating to **Max Financial Services Limited** ("the Company"), as maintained by its Registrar and Share Transfer Agents, **MAS Services Limited** and based on such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purpose of issuing this certificate for the year ended March 31, 2022 as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- i) all certificates have been issued within thirty days of the date of lodgment for transmission, change of name and consolidation except cases rejected on technical grounds;
- ii) no request was received for transfer, sub-division, renewal or exchange of equity shares.
- iii) there was no requirement for endorsement for payment of calls / allotment monies.

For **Jus & Associates**  
Company Secretaries

**Dr. Ajay Kumar Jain**  
Proprietor  
Membership Number: FCS - 1551  
Certificate of Practice Number: 21898  
Firm Registration Number: P2010DE695800

**UDIN: F001551D000021857**