



EY Entrepreneur of the year-2013



FROST & SULLIVAN Best Practices-2013



Business today/YES bank Excellence Awards-2013



Date: 28th May, 2022

To BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai- 400001	To National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex Bandra (E) Mumbai-400051
Security Code: 540596	Symbol: ERIS

SUBJECT: COMPLIANCE UNDER REGULATION 24(A) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

Dear Sir/Madam,

In terms of Regulation 24(A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Secretarial Compliance Report duly issued by the Practicing Company Secretary for the financial year ended March 31, 2022.

This is for your information and records.

Thanking You,

For Eris Lifesciences Limited



Milind Talegaonkar

Company Secretary and Compliance Officer

Mem. No. A26493

Registered & Corporate Office:

Shivarth Ambit, Plot No. 142/2, Ramdas Road, Off SBR, Near Swati Bungalows, Bodakdev, Ahmedabad – 380054
Phone: +91-79-69661000/1001 • Email: eris@erislifesciences.com • Web Site: www.eris.co.in • CIN: L24232GJ2007PLC049867

**Secretarial compliance report of ERIS LIFESCIENCES LIMITED for the year ended on
31st March, 2022**

We, Ravi Kapoor & Associates have examined records of the Company online due to current COVID pandemic situation:

- (a) all the documents and records made available to us and explanation provided by **ERIS LIFESCIENCES LIMITED** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the Company,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2021;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

Ravi Kapoor



Ravi Kapoor & Associates
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Company Secretaries
Trade Mark Agent
Insolvency Resolution Professional

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I/We hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
Nil			

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
Nil				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company	Observations made in the secretarial compliance report	Actions taken by the listed entity, if any	Comments of the Practicing Company
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Ravi Kapoor



Ravi Kapoor & Associates

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Company Secretaries

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	Secretary in the previous reports	for the year ended on 31 st March,2021		Secretary on the actions taken by the listed entity
1.	Nil	<p>Company has made delay for a period of 11 days in submission of disclosure of Related Party Transactions on consolidated basis for the quarter ended on 30th September, 2020.</p> <p>Company has paid necessary fines with BSE Ltd. and National Stock Exchange of India Limited in respect of the notices received from the stock exchanges for such delay in submission of compliance.</p> <p>However, Company has filed an application for waiver of fine with both the stock exchanges in above matter.</p>	<p>Company has paid necessary fines with both the Stock Exchange(s) for such delay in submission of disclosure of Related Party Transactions on consolidated basis for the quarter ended on 30th September, 2020.</p> <p>However, Company has also filed an application for waiver of fine with both the stock exchanges in above matter.</p>	<p>The application for waiver has been accepted by BSE vide its email received on 25th June,2021 and NSE vide its letter dated 20th May 2021 and accordingly Company was not required to pay fine and Company. Future compliance has been ensured by the Company in this matter.</p>
2.	Nil	<p>Delay of 25 days in compliance of requirement of having Non-Executive</p>	<p>Company has paid necessary fines with BSE Ltd. and National Stock</p>	<p>As the application for waiver has been rejected by the Stock</p>

Ravi Kapoor

Ravi Kapoor & Associates
Ahmedabad
COP-2407
Company Secretaries

**Ravi Kapoor
&
Associates**

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	<p>Independent Directors in Board composition from the period 11.12.2020 to 18.12.2020 and for the period 20.12.2020 to 04.01.2021.</p> <p>And</p> <p>Delay of 8 days in compliance of requirement of having at least 6 Directors in Board composition from the period 11.12.2020 to 18.12.2020.</p>	<p>Exchange of India Limited in respect of the notices received from the stock exchanges for above delay in compliance of provisions of Regulation 17(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</p> <p>However, Company has filed an application for waiver of fine with both the stock exchanges in above matter.</p>	<p>Exchange(s) however Company has paid necessary fine with both the Stock Exchange(s) and future compliance is ensured by the Company in this matter.</p>
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Place: Ahmedabad
Date: 27th May, 2022

For, Ravi Kapoor & Associates

Ravi Kapoor



Ravi Kapoor
Company Secretary in practice
FCS No. 2587
C P No.: 2407
UDIN: F002587D000403108