



<b>To,</b> <b>Bombay Stock Exchange Limited</b> Listing Department, P J Towers, Dalal Street, Mumbai - 400 001 Scrip Code: <b>500366</b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza, Block G, C-1, Bandra Kurla Complex, Bandra (East), Mumbai - 400 051 Symbol: <b>ROLTA</b>
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Date: April 27, 2021

Dear Sir / Madam,

**Sub: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended March 31, 2021**

In reference to the captioned subject, please find enclosed herewith 'Compliance Certificate' dated April 27, 2021 under Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, issued by the Practicing Company Secretary – Ms. Sunita Dube, (ACS- 48556/CP- 17708) for the half year ended March 31, 2021.

Request you to take the above in your record and oblige.

Thanking you,  
For **ROLTA INDIA LIMITED**

HETAL Digitally signed  
by HETAL  
BHARAT VICHHI  
Date: 2021.04.27  
VICHHI 17:54:01 +05'30'

**Hetal Vichhi**  
**Company Secretary & Compliance Officer**

Encl.: As above

**ROLTA INDIA LIMITED**



# Sunita Dube & Associates

Office Address: 2, Ground Floor, New Bhushan Apartment, Near Sai Pranay Hotel, Survevadi Teen Hath Naka Thane  
West-400604: (M) +919967790055(O)+918433777606 E-mail: [cssunitadube@gmail.com](mailto:cssunitadube@gmail.com)

Name of Company: **Rohta India Limited**  
Registration No. : **052384**  
Registered Office : **Rohta Tower-A, Rohta Technology Park,  
22nd Street MIDC-Marol, Andheri  
(East) Mumbai – 400093.**

## **CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

I have examined the online records pertaining to Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Rohta India Limited** maintained by RTA viz. **Link Intime India Private Limited** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, and based on the online information and documents provided by the Company and its RTA, I, hereby certify that the Company has delivered during the half year ended on **31<sup>st</sup> March, 2021**.

- A) Share Certificates relating to the Share Transfer forms received during the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** as entered in the Memorandum of Transfers transfers/transmission/sub-division and renewals have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of transmission, deletion duplicate and split and replacement certificates have been issued within one month of lodgment.

**For, Sunita Dube & Associates**

SUNITA  
VIDYASAGA  
R DUBE

Digitally signed by  
SUNITA  
VIDYASAGAR DUBE  
Date: 2021.04.27  
11:37:33 +05'30'

Sunita Dube  
Practicing Company Secretary  
ACS- 48556/ CP – 17708

Date: 27<sup>th</sup> April, 2021  
Place: Thane  
UDIN: A048556C000185924

**Note:** We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based-on inputs received via email from RTA and oral confirmation with RTA