

April 26, 2023

BSE Limited  
Department of Corporate Services  
1<sup>st</sup> Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort,  
Mumbai 400001

National Stock Exchange of India Limited  
Exchange Plaza  
Plot No.C-1, G Block  
Bandra-Kurla Complex  
Bandra (East)  
Mumbai – 400 051

Security Code: **523405**

Symbol: **JMFINANCIL**

Dear Sirs,

**Re: Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are forwarding herewith a copy of the certificate received by us from M/s Naren Shroff & Associates, Company Secretaries, Mumbai. The said certificate is for the year ended March 31, 2023.

Kindly acknowledge receipt.

Thank you.

Yours truly,

**For JM Financial Limited**

**Dimple Mehta**  
**Company Secretary & Compliance Officer**

Encl : a/a

# Naren Shroff & Associates

COMPANY SECRETARIES

**CS Naren S. Shroff**  
B.Com., LL.B., F.C.S., ACIS (London)

Mob.: +91-9820219233  
Email : narensbroffcs@gmail.com

6, "Meghdoot", 2nd Floor, Road No. 31, Opp. Kikabhai Hospital, Near Sanmukhanand Hall, Sion (E), Mumbai - 400 022.

## TO WHOMSOEVER IT MAY CONCERN

We have examined the relevant Books, Registers and records, of **JM FINANCIAL LIMITED** [CIN: L67120MH1986PLC038784] (hereinafter referred to as 'the Company') having its registered office at 7<sup>th</sup> Floor, Cnergy, Appasaheb, Marathe Marg, Prabhadevi, Mumbai - 400 025, maintained by its Registrar and Transfer Agent (hereinafter referred to as 'RTA'), KFin Technologies Limited, submitted to us for the purpose of issuing certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, and based on RTA's letter dated April 17, 2023, issued to the Company, we hereby certify that, the Company, in relation to the financial year ended March 31, 2023, did not receive any request (in physical form) for transfer, sub-division, consolidation, renewal or exchange, of its listed securities.

Place: Mumbai

Dated: April 26, 2023

For Naren Shroff & Associates  
Company Secretaries



Naren S. Shroff  
Proprietor

FCS 2414 CP 1563

UDIN: **F002414E000198161**

Unique Code: S1989MH005700

Peer Review Certificate No.: 1137/2021

