

Date: 29-May-2020

**The BSE Limited**  
Phiroje Jeejeebhoy Towers  
Dalal Street Fort  
Mumbai - 400 001  
(Scrip Code - 532776)

**The National Stock Exchange Limited**  
"Exchange Plaza" Bandra Kurla Complex,  
Bandra East,  
Mumbai - 400 051  
(Scrip Code - SHIVAMAUTO)

**Sub: Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half year ended 31-Mar-2020.**

Dear Sir,

This is in reference to the above mentioned subject; please find enclosed herewith the Certificate from Practicing Company Secretary as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half year ended 31-Mar-2020.

Kindly take the above information on your records and oblige.

Thanking you.

Yours truly,  
For Shivam Autotech Limited

**SAMTA  
BAJAJ**  
Digitally signed by SAMTA BAJAJ  
DN: c=IN, st=Haryana,  
2.5.4.20=387ce8f376ce7acbc0c6b091  
a9a714297a2167d8bc4bba1b78bf4  
27e796a1d, postalCode=132041,  
street=HOUSE NO 122A, 10 INDRE,  
serialNumber=4e86e6b365aa91af1c3d  
c3bc36d709a7ac098e5d3006faeb988  
449cde4e852, o=Personal,  
cn=SAMTA BAJAJ  
Date: 2020.05.29 15:23:00 +0530'

**Samta Bajaj**  
**Company Secretary**  
**M. No. 50269**  
**10, 1<sup>st</sup> Floor, Tower A,**  
**Emaar Digital Greens, Sector - 61,**  
**Golf Course Extension Road,**  
**Gurugram, Haryana - 122102**

**SHIVAM AUTOTECH LIMITED [www.shivamautotech.com](http://www.shivamautotech.com)**  
**CIN: L34300HR2005PLC081531**

**Registered Office: 10, 1<sup>st</sup> Floor, Emaar Digital Greens, Tower A, Sector 61,**  
**Golf Course Extension Road, Gurugram, Haryana-122102**

**Tel: 0124-4698700**  
**Fax: 0124-4698798**

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 31<sup>st</sup> MARCH, 2020.**

Based on the examination of the relevant documents relating to fully paid equity shares of **Shivam Autotech Limited** (hereinafter referred to as 'the Company') maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents (RTA), for the purpose of issuing certificate to the Company as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (hereinafter referred to as 'the Listing Regulations'), in respect of effecting transfer, sub-division, consolidation, renewal, exchange or endorsement etc. of shares received by the Company during the half year from 1<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020, and based on our such examination as well as the information and explanations given to us by the Company, which to the best of our knowledge and belief were necessary for the purpose, **We hereby certify that:**

- A) The Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates in respect of requests relating to transmission of securities, received during the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March, 2020, within the periods stipulated under the Listing Regulations, from the date of lodgement with the Company excepting those rejected on technical grounds. As informed to us there were no requests relating to transfer of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies, transposition, deletion of name etc. received during the said period.
- B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation.

For **SATYENDER KUMAR & ASSOCIATES**  
Company Secretaries

Place : Gurugram  
Date : May 29, 2020



*Satyender Kumar*  
**SATYENDER KUMAR**  
Proprietor  
(C.P. NO. 5189)  
FCS 4087

UDIN NO. F004087B000297578