

27th October, 2020

BSE Limited

1st Floor, New Trading Ring,
Rotunda Building, P.J. Towers,
Dalal Street, Fort,
Mumbai- 400 001
BSE scrip code: 500302, 912460

National Stock Exchange of India Limited

Exchange Plaza, 5th floor,
Plot No. C/1, G-Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai – 400 051
NSE symbol: PEL

Sub.: Compliance Certificate pursuant to Regulation 40(10) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')

Dear Sir / Madam,

Pursuant to Regulation 40(10) and 61(4) of the Listing Regulations, enclosed please find the Compliance Certificate issued by Mr. Ainesh Jethwa, Practising Company Secretary for the half year ended 30th September, 2020.

Thanking you,

Yours truly,

For **Piramal Enterprises Limited**

Bipin Singh
Company Secretary

Encl.: a/a

Piramal Enterprises Limited

CIN : L24110MH1947PLC005719

Registered Office: Piramal Ananta, Agastya Corporate Park, Opp Fire Brigade, Kamani Junction, LBS Marg, Kurla (West), Mumbai 400 070 India
Secretarial Dept : Ground Floor, B Block, Agastya Corporate Park, Opp. Fire Brigade, Kamani Junction, LBS Marg, Kurla (West), Mumbai, Maharashtra 400070, India

T +91 22 3802 3084/3083/3103 F +91 22 3802 3084

piramal.com



Name of the Company : PIRAMAL ENTERPRISES LIMITED
CIN : L24110MH1947PLC005719
Registered Office : Piramal Ananta, Agastya Corporate Park,
Opp. Fire Brigade, Kamani Junction, LBS Marg,
Kurla (West), Mumbai - 400 070

CERTIFICATE UNDER REGULATION 40(9) AND 61(4) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **PIRAMAL ENTERPRISES LIMITED ('the Company')** maintained by **LINK INTIME INDIA PRIVATE LIMITED, the Registrar and Share Transfer Agent ('RTA')** for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) and 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company and based on the information provided by the Company, hereby certify that:

- A. No share certificates were issued relating to the requests for transfer of shares related to transfer deeds lodged prior to 1st April, 2019 and re-lodged thereafter, due to rejection on technical grounds by the RTA;
- B. Share Certificates relating to the request for transmission of shares and name deletion received during the period from **1st April, 2020 to 30th September, 2020** have been issued within thirty days from the respective date of lodgement of each form except those rejected on technical grounds.
- C. No request were received for sub-division, consolidation, renewal or exchange or endorsement of calls/allotment monies, with respect to equity shares during the said period.
- D. The Company was not required to issue physical debenture certificates since all the non-convertible debentures issued by the Company were in dematerialised form.

For Ainesh Jethwa & Associates
Company Secretaries

Ainesh Jethwa

Aineshkumar Jethwa
Proprietor
Membership No. : ACS 27990
COP : 19650
UDIN : A027990B001066445
Date : 27/10/2020



Note:

Due to current COVID - 19 Pandemic, our office staff opted to not verify the information physically. However, this Certificate is issued on the basis of information provided by the Registrar and Share Transfer Agent through electronic mode.