

PHOTON CAPITAL ADVISORS LIMITED

(CIN: L65910TG1983PLC004368)

Regd. Office: Plot No.90-A, Road No.9, Jubilee Hills, Hyderabad – 500 033, Telangana

Tel No: 09951339995, Website: <http://www.pcalindia.com/>

Email Id - info@pcalindia.com

Date: 09.04.2019

The Manager
DCS- CRD
The BSE Limited
25th Floor, Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir,

Sub.: Certificate under regulation 40(9) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 31.03.2019.

Please find enclosed a copy of Certificate given by the Practicing Company Secretary under regulation 40(9) of SEBI (Listing obligations and disclosure requirements), Regulations, 2015, for the half year ended 31.03.2019.

Thanking you,

Yours truly,

For **PHOTON CAPITAL ADVISORS LIMITED**



TEJASWY NANDURY
WHOLE TIME DIRECTOR
DIN- 00041571



Encl: Certificate under regulation 40 (9) of SEBI (LODR), Regulations, 2015 from PCS.

CERTIFICATE

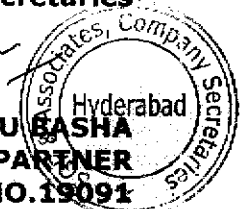
FOR THE HALF YEAR ENDED 31ST MARCH, 2019

We have examined all share transfer deeds, memorandum of transfers, registers, files and other documents relating to **PHOTON CAPITAL ADVISORS LIMITED**, Hyderabad, maintained by **KARVY FINTECH PRIVATE LIMITED (STA)**, Hyderabad pertaining to transfer of equity shares of the company for the period from **1st October, 2018 to 31st March, 2019** for the purpose of issuing a certificate as per regulation 40(9) of the SEBI (Listing obligations and disclosure requirements), Regulations, 2015 by **PHOTON CAPITAL ADVISORS LIMITED** with the BSE Limited and based on the information provided by the Company and its STA, we hereby certify that the company has delivered during half year ended on 31st March, 2019:

- A) Share certificates relating to the share transfer deeds received during the period from 1st October, 2018 to 31st March, 2019 as entered in the Memorandum of transfers have been issued within one month from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Company has not received any request for subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st October, 2018 to 31st March, 2019.
- C) Company has received 3 requests in respect of issue of duplicate share certificates during the period from 1st October, 2018 to 31st March, 2019. However, due to insufficient documents the same could not be taken on record and the shareholders were requested to submit the complete set of documents for giving effect to the same.
- D) No requests in respect of transposition of securities have been received during the period from 1st October, 2018 to 31st March, 2019. However, request has been received for transmission of securities and deletion of name and the same has been complied within the prescribed timeline.

**For SGP & Associates,
Company Secretaries**


DARGA MABU BASHA
PARTNER
CP NO. 19091



Place: Hyderabad
Date: 09.04.2019

SGP & Associates

Plot No.114/A, MLA Colony, Road No.12, Banjara Hills
Hyderabad - 500 034, Telangana, India

T : +91 40 2332 4466, 2332 4477

E : contact@sgpassociates.com