

SEC/51/2020-21

October 15, 2020

BSE Ltd. Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai 400 001.	National Stock Exchange of India Ltd. Exchange Plaza, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051.
<b>Stock Code : 532638</b>	<b>SHOPERSTOP</b>

**Subject: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("the SEBI Regulations")**

Dear Sir / Madam,

Enclosed please find herewith the Compliance Certificate issued by Mr. Kaushal Dalal, Proprietor of M/s. Kaushal Dalal & Associates, Practicing Company Secretary, pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.

Kindly take the same on records.

Thank you.

Yours faithfully,  
For Shoppers Stop Limited



**Bharat Sanghavi**  
**Company Secretary & Compliance Officer**

Encl: A/a

**KAUSHAL DALAL & ASSOCIATES**  
COMPANY SECRETARIES

308, Balaji Darshan, Tilak Road, Santacruz (W), Mumbai - 400 054. ★ Email : kaushaldalalcs@gmail.com

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**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Shoppers Stop Limited, ("the Company")** maintained in the office of KFin Technologies Private Limited (Formerly Known as Karvy Fintech Private Limited), Registrar and Share Transfer Agents ("RTA") of the Company, for the period from **01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** and on basis of information/confirmation provided to me by RTA for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that during half year ended on **30<sup>th</sup> September, 2020;**

- A) There was no request received for transfer, sub-division, renewal, exchange, consolidation or endorsement of Share certificate(s) by the RTA of Company during the said period.

**For Kaushal Dalal & Associates**  
*Practicing Company Secretaries*

**Date: 14<sup>th</sup> October, 2020**  
**Place: Mumbai**

**Kaushal Dalal**  
**Proprietor**  
**Membership No. FCS- 7141**  
**CoP No.: 7512**  
**UDIN: F007141B000953284**