



**Novartis India Limited**  
Registered Office:  
Inspire BKC  
Part of 601 & 701  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Maharashtra, India  
Tel +91 22 50243000  
Fax +91 22 50243010  
Email: india.investors@novartis.com  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in

July 13, 2020

The Secretary  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Sub.: Certificate in terms of Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“said Regulations”) on behalf of Novartis India Limited (“the Company”) for the quarter ended June 30, 2020**

Ref.: 1. **ISIN - INE234A01025**  
2. **Scrip Code - 500672**

Dear Sir/ Madam,

In reference to the above captioned subject, the certificate dated July 13, 2020, issued by the Registrar and Transfer Agent of the Company i.e. Link Intime India Private Limited, in terms of the provisions of the said Regulations, for the quarter ended June 30, 2020 is enclosed herewith for your records as **Annexure 1**.

This is for your information and record.

Thanking you.

Yours sincerely,

For **Novartis India Limited**

Sd/-  
**Trivikram Guda**  
**Company Secretary &**  
**Compliance Officer**

Encl.: as above

Cc:

The Secretary  
**National Securities Depository Limited**  
Trade World, A wing, 4<sup>th</sup> Floor, Kamala Mills  
Compound, Lower Parel, Mumbai - 400013

The Secretary  
**Central Depository Services (India) Limited**  
Marathon Futurex, A-Wing, 25<sup>th</sup> Floor, NM Joshi  
Marg, Lower Parel, Mumbai 400013



Link Intime India Pvt. Ltd.  
 CIN : U67190MH1999PTC118368  
 C-101, 247 Park, L.B.S. Marg,  
 Vikhroli (West), Mumbai - 400 083  
 Phone: +91 22 4918 6000  
 Fax: +91 22 4918 6060  
 Email: mumbai@linkintime.co.in  
 Website: www.linkintime.co.in

Date : 13<sup>th</sup> July, 2020

Novartis India Ltd.  
 Inspire BKC,  
 'G' Block, 6 & 7<sup>th</sup> Floor,  
 BKC Main Road,  
 Bandra(E)  
 Mumbai: 400051

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30<sup>th</sup> June, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

We request you to kindly take note of the above in your records subject to the note given below.

Note :Due to COVID 19 pandemic and the proactive steps taken by Govt of India in declaring a 21 days lockdown effective from March 24, 2020 midnight and further extensions in lockdown announced by the Govt. of India and various State Governments and prior to this date the advisory/prohibitory orders issued by the Government of India and various State Governments effective from mid of March 2020, certain Demat / remat transactions inwarded by our office from the period first week of March, 2020 which was to be processed and released within 15 days / 30 days as the case may be, might not have been processed/released since our office was functional with bare minimum staff reporting to office from mid-March 2020 onwards. Based on representation made by Registrars Association of India (RAIN), SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 has informed RTAs and other intermediaries that for the processing of demat requests by Issuers/RTAs existing timelines of 15 days will be excluded for the period from March 23, 2020 till July 31, 2020 . Also, please note since the postal services are not functioning fully while the courier services are completely stopped, during this challenging times certain transaction processed might be pending for release; certain DRN generated by DPs electronically but physical documents might not have been despatched by the DP; or might have been despatched by the DP but not received by us/not inwarded; or certain demat requests rejected electronically but physical documents could not be returned to the DPs which has arisen due to the extraordinary circumstances as explained above in detail.

Thanking You,

Yours faithfully,  
 For Link Intime India Pvt. Ltd  
 Sd/-  
 Ashok Shetty  
 Vice President – Corporate Registry