



# Regency Fincorp Limited

(Formerly Known as: -Regency Investments Limited)

Corp. & Regd. Office :Unit No.57-58, 4th Floor, Sushma Infinium,  
Chandigarh-Ambala Highway, Zirakpur -140603

Contact No.: +91 77175 93645 Web.: www.regencyfincorp.com  
E-mail : regencyinvestmentsltd@gmail.com

29<sup>th</sup> April, 2024

To  
The Listing Department  
BSE Limited,  
25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street Mumbai, Maharashtra – 400001

**SUB: COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) AND 40(10)  
OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015 FOR THE QUARTER AND YEAR ENDED 31<sup>ST</sup> MARCH,  
2024**

**REF: REGENCY FINCORP LIMITED (SCRIP CODE: 540175)**

Dear Sir,

Pursuant to Regulation 40(9) and 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Compliance Certificate for Regency Fincorp Limited issued by Ms. Anuradha Malik (M.No. 60626, CP No. 27205), Practicing Company Secretary for the quarter and year ended 31<sup>st</sup> March, 2024.

Kindly take the same on your records.

Thanking you

For REGENCY FINCORP LIMITED

GAURAV KUMAR  
MANAGING DIRECTOR  
DIN: 06717452

Encl: a/a



**Anuradha Malik**  
**Practicing Company Secretary**

(Peer Reviewed)

Address: H.No. 61, VPO Jauli, Sonipat, Haryana-131301  
M. No: +91 9034571110, Email: csanuradhamalik@gmail.com

**CERTIFICATE UNDER REGULATION 40 OF THE SEBI (LISTING  
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2024**

On the basis of the information and confirmation relating to the record of transfer of equity shares of **Regency Fincorp Limited** (hereinafter referred as to 'the Company') as maintained and provided electronically by **Mas Services Limited** (hereinafter referred to as 'the RTA'), pertaining to the period from **1st April 2023 to 31st March 2024** (hereinafter referred to as 'the period') for the purpose of issuing a Certificate as per Regulation 40(9) of the **SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015**, we hereby certify the following for the year ended 31st March 2024:

- a) Share Certificates relating to the valid requests received for transmission, transposition of shares received during the period from 1st April 2023 to 31st March 2024 as entered in the Register of Renewed and Duplicate Share Certificates have been issued within 15 (fifteen) days of the date of lodgment of requests except those rejected on technical grounds.
- b) Share Certificates in respect of all valid requests for sub-division, consolidation, renewal, exchange, duplicate received during the period from 1st April 2023 to 31st March 2024 have been issued within 30 (thirty) days of lodgment except those rejected on technical grounds. Further as per the records made available to us there were no request relating to endorsement of calls/ allotment monies, on the Share Certificate(s), received during the said period.

**Anuradha Malik**  
**Practicing Company Secretaries**

**ANURADHA  
MALIK**

Digitally signed by ANURADHA MALIK  
DN: c=IN, o=Personal,  
pseudonym=KgH5PvtHmEhN74bndAdmUDNBu0p3imc2,  
2.5.4.20=438771966c23274bc24e1e823773384851385337f  
7fdb42708707ec28991d, postalCode=131301, st=Haryana,  
serialNumber=1a396ddec000256c16183a5c0a4e789522aa  
80296c702baf748c3177f7a0db4e3, cn=ANURADHA MALIK  
Date: 2024.04.29 10:44:25 +05'30'

**M.No. A60626**

**Peer Review No.: 5632/2024**

**CP No.:27205**

**UDIN: A060626F000261629**

**Dated: 29<sup>th</sup> April, 2024**

**Place: Sonipat**