

30th April, 2022

Listing Department National Stock Exchange of India Limited "Exchange Plaza" Bandra Kurla Complex Bandra (E), Mumbai- 400051 NSE SYMBOL - SPLIL	Listing Department BSE Limited 1 st Floor, P.J. Towers, Dalal Street, Mumbai- 400001 SCRIP- 532651
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Subject- Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements, Regulation, 2015 for the year ended 31st March, 2022

Dear Sir/Madam,

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (M/s KFIN Technologies Limited, formerly known as KFIN Technologies Private Limited) along with certificate issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the year ended 31st March, 2022

Kindly take it on record.

Thanking You

Truly yours

For, **SPL Industries Limited**



Vishal Srivastava

Vishal Srivastava
(Company Secretary &
Compliance Officer)

Encl. - As above



To,
The Board of Directors
SPL Industries Limited
Office No- 202, IInd Floor,
Vikramaditya Tower
Alaknanda Market,
Kalkaji, Delhi - 110019

Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 during the period 01.04.2021 to 31.03.2022

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to “**M/s. SPL Industries Limited**” having its Registered Office at Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi 110019 maintained by KFin Technologies Limited {formerly known as Kfin Technologies Private Limited}, Registrar and Share Transfer Agent of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares and non- convertible debentures of the Company for the period ended on **31st March, 2022** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the period 01.04.2021 to 31.03.2022:

A) No request was received for physical transfer during the period from 01st day of April, 2021 to 31st day of March, 2022.

B) Certificates in respect of request(s) for issue of Duplicate /Split / Consolidation and Sub-division etc., as applicable if any, during the period from 01st day of April, 2021 to 31st day of March, 2022 have been issued within stipulated time as prescribed under Listing Regulations.

FOR AGARWAL S. & ASSOCIATES

Company Secretaries
ICSI Unique Code: P2003DE049100

AYAJ KUMAR
CHOUDHARY

Digitally signed by AJAY KUMAR CHOUDHARY
DN: cn=AJAY KUMAR CHOUDHARY,
o=AGARWAL S. & ASSOCIATES,
ou=AGARWAL S. & ASSOCIATES,
email=ajay.kumar@agarwal-s.com,
c=IN

CS Ajay Kumar Choudhary
Partner
ACS 51674
CP 21297
UDIN: A051674D000241347

Place: New Delhi
Date: 29.04.2022

KFIN/SPI/REG40(9)
Date : 20th April 2022.

SPL INDUSTRIES LIMITED
202, IIND FLOOR
VIKRAMADITYA TOWER
ALAKNANDA MARKET
KALKAJI, NEW DELHI
110019

Dear Sir/Madam

We hereby certify that during the Financial year 2021-2022 from 01.04.2021 to 31.03.2022 there were No transfers received for registration for the financial year ending 31st March 2022. You are requested to file the same immediately with Stock Exchanges where your Company's shares are listed. This certificate issued as per the "Regulation 40(9)of the SEBI (LODR) Regulation, 2015".

Thanking You,

Yours faithfully,
for KFin Technologies Limited.



Raj Kumar Kale
Asst. Vice President