

**THERMAX LIMITED** THERMAX HOUSE, 14 MUMBAI - PUNE ROAD, WAKDEWADI,  
PUNE 411 003, INDIA ☐ TEL.: +91 20 25542122, 25542263 ☐ FAX : +91 20 25541226  
Website : www.thermaxglobal.com ☐ IT PAN - AAAC 3910D  
Customer Care : 18002090115 (India Toll Free)

Corporate Finance



April 18, 2022

To  
**The Secretary**  
**BSE Limited**  
**PJ Towers, Dalal Street**  
**Mumbai: 400 001**

**National Stock Exchange of India Limited**  
**Exchange Plaza, C-1, Block G,**  
**Bandra Kurla Complex,**  
**Bandra (E)**  
**Mumbai – 400 051**

Company Scrip Code: 500411

Company Scrip Code: THERMAX EQ

**Sub: Certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015**

Dear Sir,

With reference to the Regulation 40(9) of the SEBI (LODR) Regulations, 2015, we are enclosing signed certificate dated April 18, 2022, issued by Manish Ghia & Associates, Practicing Company Secretaries, regarding issuance of share certificate for transfer, sub-division, consolidation, renewals, etc. for the year ended March 31, 2022.

You are requested to kindly acknowledge receipt of the above.

Thanking you,

Yours faithfully,  
For **THERMAX LIMITED**

**Janhavi Khele**  
**Company Secretary**  
**Membership No: A20601**

Encl: As above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **THERMAX LIMITED** having its registered office at D-13 MIDC Ind Area R D AGA road Chinchwad Pune 411019, maintained by **KFin Technologies Limited (formerly known as KFin Technologies Private Limited)**, the Registrar & Share Transfer Agent (RTA) of the Company, pertaining to transfer of equity shares of the Company during the year ended **31<sup>st</sup> March, 2022** for the purpose of issuing Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 to **THERMAX LIMITED** and based on information provided by the Company, we hereby certify that during the year ended on **31<sup>st</sup> March, 2022**, the Company:

- a) has issued share certificate(s)/ letter of confirmation, wherever required, relating to request(s) for issue of duplicate share certificate(s) in lieu of original lost/misplaced and consolidation of share certificate received, within 30 days from the date of lodgement; and
- b) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, renewal, exchange or endorsement of calls/allotment monies

For Manish Ghia & Associates  
Company Secretaries  
(Unique ID: P2006MH007100)



Place: Mumbai  
Date: April 18, 2022  
UDIN: F006252D000149296

*Mannish L. Ghia*

CS Mannish L. Ghia  
Partner

M. No. FCS 6252 C.P. No. 3531  
PR 822/2020