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An ISO 9001, ISO 14001 & ISO  
45001  
Certified Company  




12<sup>th</sup> July 2023

BSE Ltd  
The Department of Corporate Services  
Phiroze Jeejeebhoy Towers  
Dalal Street – Mumbai 400 001

National Stock Exchange of India Ltd.  
Exchange Plaza, Bandra Kurla Complex  
Bandra (E), Mumbai – 400051  
Fax. No: 022-26598237/38, 022-26598347/48

Security Code No. :**504614**

Symbol: **SARDAEN**

Series: **EQ**

Dear Sir,

Pursuant to the Regulation 39 (3) of SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015, the Company / R & T Agent has received information of loss/misplacement of Share Certificates and request for issue of Duplicate Share Certificate, as per details given hereunder:

| Sr. No. | Folio No. | Name of the Shareholder | Certificate No. | Distinctive Nos. |        | No. of Shares |
|---------|-----------|-------------------------|-----------------|------------------|--------|---------------|
|         |           |                         |                 | From             | To     |               |
| 1.      | S00289    | Smitaben M Shah         | 800018          | 393651           | 393700 | 50            |
| TOTAL   |           |                         |                 |                  |        | <b>50</b>     |

You are requested to take the information on record.

Copy of intimation as received from shareholder/claimant is enclosed.

Thanking you,

Yours faithfully,  
For Sarada Energy & Minerals Ltd.

Company Secretary

Encl: As above

# Form ISR-4

(see circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

## Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: 19/05/2023

### A. Mandatory Documents / details required for processing all service request:

I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick ✓ as relevant, refer to the instructions):

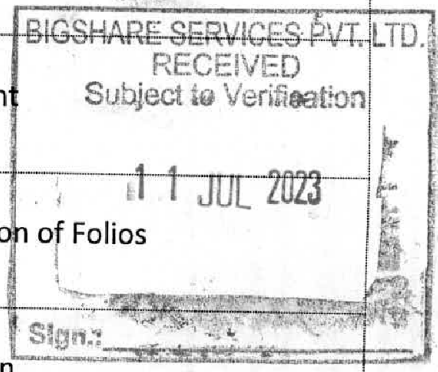
- Demat Account No. (If available): 1 0 3 3 1 9 4 2  
Provide Client Master List (CML) of your Demat Account from the Depository Participant\*
- Provide the following details, if they are not already available with the RTA (see SEBI circular dated November 03, 2021 in this regard)

|                                     |  |
|-------------------------------------|--|
| PAN <u>AACPS8066M</u>               | Specimen Signature <u>Smita M. Shu</u> |
| Nomination / Declaration to Opt-out |  |

\* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in SEBI circular dated November 03, 2021.

### B. I / We request you for the following (tick ✓ relevant box)

|   |  |
|---|--|
| <input checked="" type="checkbox"/> Issue of Duplicate certificate                  | <input type="checkbox"/> Claim from Unclaimed Suspense Account |
| <input type="checkbox"/> Replacement / Renewal / Exchange of securities certificate | <input type="checkbox"/> Endorsement                           |
| <input type="checkbox"/> Sub-division / Splitting of securities certificate         | <input type="checkbox"/> Consolidation of Folios               |
| <input type="checkbox"/> Consolidation of Securities certificate                    | <input type="checkbox"/> Transmission                          |
| <input type="checkbox"/> Transposition (Mention the new order of holders here)      |  |



### C. I / We are enclosing certificate(s) as detailed below\*\*:

|                            |  |
|----------------------------|--|
| Name of the Issuer Company | <u>SARDA ENERGY &amp; MINERALS LTD</u> |
| Folio Number               | <u>505486 &amp; 500289</u>             |

|   |  |
|---|--|
| Name(s) of the security holder(s) as per the certificate(s) | 1. SMITABEN M-SHAH<br>2.<br>3.               |
| Certificate numbers   | 70724, 80434, 800018                         |
| Distinctive numbers   | 2927676-680, 3234296-300, 393651<br>- 393700 |
| Number & Face value of securities                           | 60 @ P.V. 10                                 |

\*\* Wherever applicable / whichever details are available

**D. Document / details required for specific service request:**

- ✓ I.  Duplicate securities certificate
- II.  Claim from Unclaimed Suspense Account

|                    |                            |
|--------------------|----------------------------|
| Securities claimed | (in numbers)<br>(in words) |
|--------------------|----------------------------|

- III.  Replacement / Renewal / Exchange of securities certificate  
(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV.  Endorsement
- V.  Sub-division / Splitting of securities certificate
- VI.  Consolidation of securities certificate/Folios
- VII.  Transmission
- VIII.  Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

**Declaration:** All the above facts stated are true and correct to best of my / our knowledge and belief.

|              | Security Holder 1 / Claimant  | Security Holder 2 | Security Holder 3 |
|--------------|---|-------------------|-------------------|
| Signature    | ✓<br>Smita M. Shah  | ✓                 | ✓                 |
| Name         | ✓ SMITABEN M SHAH   | ✓                 | ✓                 |
| Full address | ✓ 55-CRIDHARI<br>Shreenathi Society<br>BIHARIW STATION<br>PO: NADIAD-387002 |                   |                   |

|     |   |   |  |
|-----|---|---|--|
| PIN | <input checked="" type="checkbox"/> 3 <input type="checkbox"/> 8 <input type="checkbox"/> 7 | <input type="checkbox"/> 6 <input type="checkbox"/> 9 | <input checked="" type="checkbox"/> 2 <input type="checkbox"/> |
|-----|---|---|--|

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.