

RSWM/SECTT/2019
August 12, 2019

BSE Limited Corporate Relationship Department, 1st Floor, New Trading Ring, Rotunda Building, P.J. Towers, Dalal Street, MUMBAI - 400 001. Scrip Code: 500350	National Stock Exchange of India Limited Listing Department, Exchange Plaza, C-1, Block - G, Bandra-Kurla Complex, Bandra (East), MUMBAI - 400 051. Scrip Code: RSWM
---	--

Sub: Intimation under SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

This intimation is with regard to the occurrence of an event of inadvertent trade by a designated person of the Company during the trading window closure period.

The relevant details of the events are attached as Annexure.

In compliance with the SEBI (PIT) Regulations, the Company shall also report the above violation to SEBI accordingly.

We request you to kindly take note of the same.

Thanking you,

Yours faithfully,

For RSWM LIMITED





SURENDER GUPTA
COMPANY SECRETARY
FCS - 2615

(Formerly Rajasthan Spinning & Weaving Mills Limited)

Corporate Office :
Bhilwara Towers, A-12, Sector-1
Noida - 201 301 (NCR-Delhi), India
Tel: +91-120-4390300 (EPABX)
Fax: +91-120-4277841
Website: www.rswm.in
GSTIN: 09AAACR9700M1Z1

Regd. Office:
Kharigram, Post Office Gulabpura - 311 021
Distt. Bhilwara, (Rajasthan), India
Tel: +91-1483-223144 to 223150, 223478
Fax: +91-1483-223361, 223479
Website: www.lnjbhilwara.com
GSTIN: 08AAACR9700M1Z3

Corporate Identification Number: L17115RJ1960PLC008216

Annexure

Mr. Raj Rishi Chatterjee (General Manager), a Designated Person, under the Company's Code of Conduct for regulating, monitoring and reporting trading by Insiders (the Code), formulated in line with the SEBI (Prohibition of Insider Trading) Regulations, 2015 (SEBI (PIT) Regulations), inadvertently traded in 6001 equity shares of the Company aggregating to the value of ₹ 7,01,160.55, on 5th August, 2019, i.e. during the period in which trading window of the Company was closed for the purpose of declaration of Financial Results for the quarter ended June 30, 2019. On being aware of such inadvertence, Mr. Chatterjee immediately rectified his mistake by squaring off the transaction, thereby incurring a loss of approx. ₹ 32,000.

The details of this transaction was brought to the attention of the undersigned on August 07, 2019 and a show cause notice in respect of violation of the Code of Conduct under SEBI (PIT) Regulations was immediately issued to Mr. Chatterjee.

The Audit Committee of the Board of Directors was notified of this matter in their meeting held on August 12, 2019. Based on the submission of Mr. Chatterjee, the Committee believed that it was a case of inadvertent trade made which results in what seems to be an unintentional non-compliance with the provisions of the Code of the SEBI (PIT) Regulations and therefore imposed a penalty of ₹ 50,000 on Mr. Chatterjee, to be made as a contribution to any charitable organization which is so recognised under Income Tax Act.

