

**Fortis Healthcare Limited**

Tower-A, Unitech Business Park, Block-F,
South City 1, Sector – 41, Gurgaon,
Haryana – 122 001 (India)

Tel : 0124 492 1033

Fax : 0124 492 1041

Emergency : 105010

Email : secretarial@fortishealthcare.com

Website : www.fortishealthcare.com

FHL/SEC/2020-21

December 8, 2020

**The National Stock Exchange of India Ltd.
Corporate Communications Department
“Exchange Plaza”, 5th Floor, Bandra-Kurla
Complex, Bandra (East), Mumbai – 400051
Scrip Symbol: FORTIS**

**BSE Limited
Corporate Services Department
Phiroze Jeejeebhoy Towers
Dalal Street, Mumbai – 400 001
Scrip Code:532843**

Re: Reporting of violations related to Code of Conduct under Securities and Exchange Board of India (Prohibition of Insider Trading) regulations, 2015 (SEBI PIT)

This is in relation to the captioned subject and pursuant to SEBI Circular ref. no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, wherein process relating to dealing with violations of the Code of Conduct of SEBI PIT was standardized. Please find enclosed an incident of violation marked as **Appendix I**.

At the outset, we would like to assure you of our full co-operation and support in respect of this. As a listed company, we are conscious of our obligations to all our stakeholders and have always strived to ensure the highest levels of compliance within our organization.

If you have any concerns or queries on the information provided in this letter, please contact the undersigned.

Yours faithfully,
For **Fortis Healthcare Limited**

Name: Sumit Goel

Designation: Company Secretary & Compliance Officer

FORTIS HEALTHCARE LIMITED

Regd. Office : Fortis Hospital, Sector 62, Phase – VIII, Mohali – 160062
Tel : 0172-5096001, Fax : 0172-5096221, CIN : L85110PB1996PLC045933

Appendix – 1

Report by FORTIS HEALTHCARE LIMITED for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

[For listed company: Schedule Bread with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

| Sn. | Particulars | Details | | | | | | |
|--------------------------|--|---|------------|---------------|-------------------------------------|--------------------------|-------|----------|
| 1. | Name of the listed company—/ Intermediary / Fiduciary | Fortis Healthcare Limited | | | | | | |
| 2. | Please tick appropriate checkbox Reporting in capacity of: <ul style="list-style-type: none"> • Listed Company • Intermediary • Fiduciary | Listed Company | | | | | | |
| 3A | Details of Designated Person (DP) | | | | | | | |
| (i) | Name of the Designated Person (DP) Name of the immediate relative of DP if reporting is for immediate relative. | Mr. Anil Vinayak | | | | | | |
| (ii) | PAN of the DP Employee PAN of the immediate relative of DP if reporting is for immediate relative. | AAPV0130A | | | | | | |
| (iii) | Designation of DP Employee | Group Chief Operating Officer | | | | | | |
| (iv) | Functional Role of DP Employee | He is a Group Chief Operating Officer of the Company. | | | | | | |
| (v) | Whether DP is Promoter or belongs to Promoter Group | No | | | | | | |
| B | If Reporting is for immediate relative of DP | | | | | | | |
| (i) | Name of the immediate relative of DP | No | | | | | | |
| (ii) | PAN of the immediate relative of DP | No | | | | | | |
| C | Transaction details i) Name of the scrip ii) No of shares traded (which includes pledge) and value (Rs) (Date-wise) | a) Equity Shares (BSE Scrip Code – 532843; NSE Scrip Code – FORTIS) b) 3500 Equity Shares c) Value & Date – <table border="1" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th>Day & Date</th> <th>No. of Shares</th> <th>Approximate value of trade (in Rs.)</th> </tr> </thead> <tbody> <tr> <td>Friday, December 4, 2020</td> <td>2,500</td> <td>3,63,000</td> </tr> </tbody> </table> | Day & Date | No. of Shares | Approximate value of trade (in Rs.) | Friday, December 4, 2020 | 2,500 | 3,63,000 |
| Day & Date | No. of Shares | Approximate value of trade (in Rs.) | | | | | | |
| Friday, December 4, 2020 | 2,500 | 3,63,000 | | | | | | |

**Fortis Healthcare Limited**

Tower-A, Unitech Business Park, Block-F,
South City 1, Sector – 41, Gurgaon,
Haryana – 122 001 (India)

Tel : 0124 492 1033

Fax : 0124 492 1041

Emergency : 105010

Email : secretarial@fortishealthcare.com

Website : www.fortishealthcare.com

| | | | | |
|---|--|---|-------|----------|
| | | Monday, December 7, 2020 | 1,000 | 1,45,200 |
| D | In case value of trade(s) is more than Rs 10 lacs in a calendar quarter: a) Date of intimation of trade(s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015 b) Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015 | Not Applicable | | |
| 4 | Details of violations observed under SEBI (Prohibition of Insider Trading) Regulations, 2015 | Designated Employee traded in securities of the Company without seeking pre-clearance. | | |
| 5 | Action taken by Listed company/ Intermediary/ Fiduciary | Company has taken undertaking from the Employee that he will not make any contra trade in next six months. Copy of the Undertaking received from the Designated Employee is attached. | | |
| 6 | Reasons recorded in writing for taking action stated above | Considering that the aforesaid violation being his first transgression of the Code of Conduct for prevention of Insider Trading of the Company, a warning letter has been issued. | | |
| 7 | Details of the previous instances of violations, if any, since last financial year | None | | |
| 8 | If any amount collected for Code of Conduct violation(s) | None | | |
| 9 | Any other relevant information | NA | | |

For **Fortis Healthcare Limited**

Name: Mr. Sumit Goel

Designation: Company Secretary & Compliance Officer

PAN: AEDPG6970Q

Email id: secretarial@fortishealthcare.com

Date: December 8, 2020

Place: Gurgaon

FORTIS HEALTHCARE LIMITED

Regd. Office : Fortis Hospital, Sector 62, Phase – VIII, Mohali – 160062

Tel : 0172-5096001, Fax : 0172-5096221, CIN : L85110PB1996PLC045933

UNDERTAKING

December 8, 2020

To,

**Compliance Officer
Fortis Healthcare Limited
Fortis Hospital, Sector 62, Phase VIII,
Mohali – 160062**

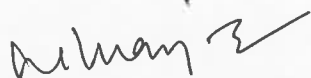
I, Anil Vinayak- Group Chief Operating Officer (Designated Person) (holding PAN - AAPV0130A), resident of A-2/201 World Spa East Sector-30/41, Gurgaon, Haryana-122001 have purchased 3,500 equity shares of Fortis Healthcare Limited (the Company) without seeking pre-clearance from Compliance Officer on the following dates:

| S.No | Day & Date | No. of Shares | Approximate value of trade (in Rs.) |
|------|--------------------------|---------------|-------------------------------------|
| 1. | Friday, December 4, 2020 | 2,500 | 3,63,000 |
| 2. | Monday, December 7, 2020 | 1,000 | 1,45,200 |

I hereby confirm that the said transaction was executed out of ignorance.

Subject to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and Policy / Code of Conduct for Prevention of Insider Trading of the Company, I hereby declare and undertake that I will not make contra trade in any manner (sell, pledge etc.) in above mentioned shares in next 6 months from the date of this declaration.

Yours faithfully,



Name: Anil Vinayak
Designation: Group Chief Operating Officer