



# BAFNA PHARMACEUTICALS LTD.,

REGD. OFFICE: "BAFNA TOWERS" 299, THAMBU CHETTY STREET, CHENNAI-600 001, INDIA.  
PHONE: 044-25267517/25270992/42677555, FAX: 91-44-25231264, email: info@bafnapharma.com, Website, www.bafnapharma.com  
CIN : L24294 TN1995PLC030698

Date: 23<sup>rd</sup> October 2020

Listing Department BSE Limited P J Towers Dalal Street, Mumbai – 400 001	National Stock Exchange of India Ltd., Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051
Security Code: 532989 Security ID : BAFNAPHARM	SCRIP SYMBOL: BAFNAPH

Dear Sir,

**Sub: Certificate from Practicing Company Secretary for the half year ended 30<sup>th</sup> September 2020**  
**Ref: Regulation 40(9) of the SEBI (LODR) Regulations, 2015**

Please find attached Certificate from Practicing Company Secretary under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 30<sup>th</sup> September 2020.

Request you to take the same on record.

Thanking you

Yours faithfully,  
For BAFNA PHARMACEUTICALS LIMITED

Jitendra Kumar Pal  
Company Secretary



**A. K. JAIN & ASSOCIATES**  
**COMPANY SECRETARIES**



*S. Anil Kumar Jain* B.Com., FCS

*Balu Sridhar* M.A.C.S., FCS., LLB

*Pankaj Mehta* B.Com (C.S.), ACS

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA**  
**(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

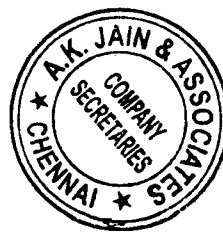
We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/S. BAFNA PHARMACEUTICALS LIMITED (the Company)** maintained by **M/s. CAMEO CORPORATE SERVICES LIMITED** ("Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and we hereby certify that the Company has not received any requests for physical Transfer, Transmission, Split, Consolidation and duplicate share certificates for the period commencing from 01.04.2020 to 30.09.2020

For A.K.JAIN & ASSOCIATES

Company Secretaries

Date: 22.10.2020

Place: Chennai



*B. Sridhar*

**BALU SRIDHAR**

Partner

M.NO. F5869

C.P.NO. 3550

UDIN: F005869B001037658