

The General Manager  
Department of Corporate Services  
**BSE Limited**  
25<sup>th</sup> Floor, P.J Towers,  
Dalal Street, Mumbai - 400001

April 13, 2022

**Scrip Code: 539199**

Sub:- Submission of Certificate from Practicing Company Secretary under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")

Dear Sir / Madam,

Pursuant to Regulation 40(9) of the Listing Regulations, please find enclosed herewith the Certificate obtained from Practicing Company Secretary for the year ended March 31, 2022.

This is for your information and records.

Thanking you,  
For **Moongipa Securities Limited**



**Sanjeev Jain**  
Company Secretary and Compliance officer

Encl.: As Above

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022**

I have examined the necessary registers, files and other relevant documents relating to **Moongipa Securities Limited** ("the Company"), bearing **CIN: L74899DL1994PLC057941** having its registered office at 18/14, W.E.A., Pusa Lane, Karol Bagh, New Delhi - 110005 maintained by Company's Registrar and Transfer Agent - **Skyline Financial Services Pvt. Ltd. (RTA)** having its registered office at D-153A, 1<sup>st</sup> Floor, Okhla Industrial Area, Phase-I, New Delhi - 110020 pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of my examination and on the information/ explanation provided by the Company/ Registrar and Transfer Agent, I hereby certify that the Company during the year ended on 31<sup>st</sup> March, 2022:-

- A) Has not received any request for transfer of shares in the physical form and accordingly, the Company has not issued or delivered share certificates relating to share transfer deeds received during the period from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.
  
- B) Has not received any request for issuance of duplicate share certificate(s), split, consolidation, sub-division and renewal exchange or endorsement of calls/allotment monies received during the year covered under the certificate.

C) Any other information, as applicable: **NIL**

**Place: New Delhi**

**Date: 12.04.2022**

**For Anjali Yadav & Associates**

**Company Secretaries**

**anjali**  
**yadav** Digitally signed  
by anjali yadav  
Date: 2022.04.12  
18:15:13 +05'30'

**Anjali Yadav**

**Proprietor**

**FCS No. 6628**

**C.P. No. 7257**

**UDIN: F006628D000088041**