

# NDA SECURITIES LIMITED

(CIN: L74899DL1992PLC050366)

Regd. Office: E-157, S/F, Kalkaji, New Delhi-110019

Tel: 011-46204000, Fax: 011- 46204050, email: legal@ndaindia.com, website: www.ndaindia.com

Ref: NDASEC/BSE/40 (9)/MAR/2020

Dated: 23.05.2020

To

The Manager (Listing)

Bombay Stock Exchange Limited

Phiroze Jeejeebhoy Towers

Dalal Street,

Mumbai – 400 001

**Sub: Compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: NDA Securities Limited Code: 511535**

Dear Sir/ Madam,

As per the requirement of Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with the Stock Exchange, please find enclosed herewith a certificate from Mr. Surrinder Kishore Kapahi, Company Secretary in practice to the effect that all transfers of shares for the half year ended on 31<sup>st</sup> March, 2020 have been completed within the stipulated time.

This is for information and record.

Thanking you,

Yours faithfully,

**For NDA SECURITIES LIMITED**

  
**Vanshika Rastogi**  
Company Secretary  
ACS-36254

Encl: as above

PHONE : 47073144

# KAPAHI AND ASSOCIATES

(COMPANY SECRETARIES)

FLAT NO. 7C, EVERSHINE APARTMENTS, VIKAS PURI, NEW DELHI - 110018

E-Mail : kapahiassociates@yahoo.com

REF: KA/NDA SEC /40 (9)/March/2020

DATED: 21.05.2020

We have examined all the relevant records of **M/s NDA Securities Limited**, (Hereinafter referred as "Company") maintained by **M/s. Beetal Financial & Computer Services Private Limited**, Registrar and Share Transfer Agents of the Company (hereinafter referred as "RTA") for the purpose of issuing certificate under sub -regulation 9 of Regulation 40 of the **Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015** with the Stock Exchanges. Based on our examination, as well as information and explanation furnished to us by the Company, we hereby certify that, during the half year ended on **31.03.2020**:

- A) Share Certificates relating to the transfer of Shares received during the period from **1.10.2019 to 31.03.2020**, as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, excepting those rejected on technical grounds.
- B) The Company has not received any case for sub- division, consolidation, renewal and exchange of certificates.
- C) The company has not received any case for endorsement for payment of call/Allotment money.
- D) The Company has no Debentures, during the period.

FOR KAPAHI AND ASSOCIATES  
COMPANY SECRETARIES



(S.K. KAPAHI)  
PROPRIETOR  
FCS NO.1407  
C.P.NO.1118

Place: New Delhi



UDIN: F001407B000267409