



TRF LIMITED

Ref :SEC:152

Date : 14.10.2020

General Manager – Corporate Services  
BSE Ltd.  
Floor 25, P J Towers  
Dalal Street  
MUMBAI - 400 001

**Scrip Code : 505854**

Manager Listing  
National Stock Exchange of India Ltd  
Exchange Plaza, Bandra-Kurla Complex  
Bandra (East)  
MUMBAI – 400 051

**Scrip Code : TRF**

General Manager  
The Calcutta Stock Exchange Ltd.  
7, Lyons Range  
KOLKATA - 700 001

**Scrip Code : 10030045**

Dear Madam, Sir(s),

Sub : **Compliance Certificate under Regulation 40(10)**

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith a Certificate dated October 14, 2020 issued by a Practicing Company Secretary under Regulation 40(9) of the said Regulations, which is self-explanatory.

This is for your information and records.

Thanking you,

Yours faithfully,  
For TRF LIMITED

**Subhashish Datta**  
Company Secretary & Compliance Officer

Encl: As above

**PARIKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office

111, 11<sup>th</sup> Floor, Sai-Dwar CHS Ltd  
Sab TV Lane, Opp Laxmi Industrial Estate,  
Off Link Road, Above Shabari Restaurant,  
Andheri (W), Mumbai : 400053  
Tel No 26301232 / 26301233 / 26301240  
Email : [cs@parikhassociates.com](mailto:cs@parikhassociates.com)  
[parikh.associates@rediffmail.com](mailto:parikh.associates@rediffmail.com)

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to TRF LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020.

1.b. The Company has delivered during half year ended on 30<sup>th</sup> September, 2020 share certificates in respect of requests relating to transmission of securities/ deletion of name, received during the period from 01<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, consolidation, renewal, sub-division (split) and exchange or endorsement of calls/allotment monies received during the said period. .

B) Any other information, if applicable: --

**For Parikh & Associates**

**P N**  
**Parikh**

Digitally signed by P N Parikh  
DN: cn=N, o=Personal, postalCode=400104,  
st=Maharashtra,  
2.5.4.20=02a59473a64d87ecbddfe0a8e3ad7  
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serialNumber=e6a3dfe99f1e9732378e1984  
97c9be1a9a554aafae294fe7c98b0c5e69c2f  
c, cn=P N Parikh  
Date: 2020.10.14 11:52:06 +05'30'

Place: Mumbai  
Date: October 14, 2020

**P. N. Parikh**  
**FCS: 327 CP: 1228**  
**UDIN: F000327B000941080**