



SMIFS CAPITAL MARKETS LIMITED

October 06, 2023

**The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001**

Dear Sir,

Sub: Intimation of Resignation and Appointment of the Company Secretary and Compliance Officer as per Regulations 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.

Pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 ('SEBI LODR Regulation'), please be informed that the Board of Directors at its meeting held today, 06th October 2023 (Friday) inter alia approved the following:

Ms. Ayanti Sen, Company Secretary cum Compliance Officer resigns from the post with effect from the closure of business hours of October 07, 2023.

Further it is hereby informed Mrs.Poonam Bhatia, an associate member of the ICSI (Membership No. A26348) is appointed as the Company Secretary and Compliance Officer of the company with effect from opening of the business hours of October 09, 2023 in accordance with the provisions of Section 203 of the Companies Act, 2013 read with the relevant applicable Rules and SEBI (LODR) Regulations 2015 and other applicable provisions if any.

Please acknowledge receipt,

Yours faithfully,

For SMIFS Capital Markets Limited

**(Ayanti Sen)
Company Secretary cum Compliance Officer**



Encl: As above



SMIFS CAPITAL MARKETS LIMITED

Details under Regulation 30 of the SEBI LODR Regulation read along with
SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023

Sl No.	Particulars	Details of Change	
		Ms. Ayanti Sen	Mrs Poonam Bhatia
1.	Reason for change viz. appointment, resignation, removal, death or otherwise	Resignation	Appointment
2.	Date of appointment/cessation & term of appointment	October 07, 2023 (Cessation)	October 09, 2023 (Appointment)
3.	Brief Profile (in case of appointment)	NA	<p>SMIFS Capital Markets Limited has appointed Mrs. Poonam Bhatia as the Company Secretary cum Compliance Officer of the Company.</p> <p>Mrs. Poonam Bhatia is an associate member of the Institute (ICSI).</p> <p>She has experience of almost 11 years as a Company Secretary. She was previously appointed as SMIFS Group Company Secretary cum Legal Compliance Officer and as a Company Secretary of SMIFS Capital Services Limited, a wholly owned subsidiary of SMIFS Capital Markets Limited.</p>
4.	Disclosure of relationships between directors (in case of appointment of a director)	NA	NA



Ayanti Sen
71/B SP Mukherjee Street, Konnagar,
Hooghly - 712235

Date- 11th September 2023

To
The Board of Directors
SMIFS Capital Markets Limited
Vaibhav 4F 4 Lee Road
Kolkata-700020

Sub: Resignation from the post of Company Secretary cum Compliance Officer

Dear Sir,

I hereby tender my resignation due to pre-occupation from the post of Company Secretary cum Compliance Officer of the Company. I kindly request the Board of Directors to relieve me from the duties of my post with effect from close of business hours of 7th October, 2023.

Kindly arrange to submit the necessary form(s) with the office of the Registrar of Companies.

I take this opportunity to thank the Board of Directors for their support and guidance given to me during my association with the company.

Thanking you
Yours Sincerely,

Ayanti Sen
(Ayanti Sen)
Membership No. A61796

Mr. S. K. Das

Pls accept the
resignation with effect from
close of business hours as
on 7th October 2023
Kishor Das
